

# GECCO 2006: Evolutionary Computation in Practice: EC and IEC for MEMS Design

Raffi Kamalian  
JSPS Post-doctoral Fellow  
Kyushu University  
Fukuoka, JAPAN  
raffi@design.kyushu-u.ac.jp

In Collaboration with  
The Berkeley Expert Systems Lab  
University of California  
Berkeley, CA



# Motivation

## The Goals of this talk:

- Introduce field of MEMS
- Discuss issues of MEMS design
- Present work using EC for MEMS design



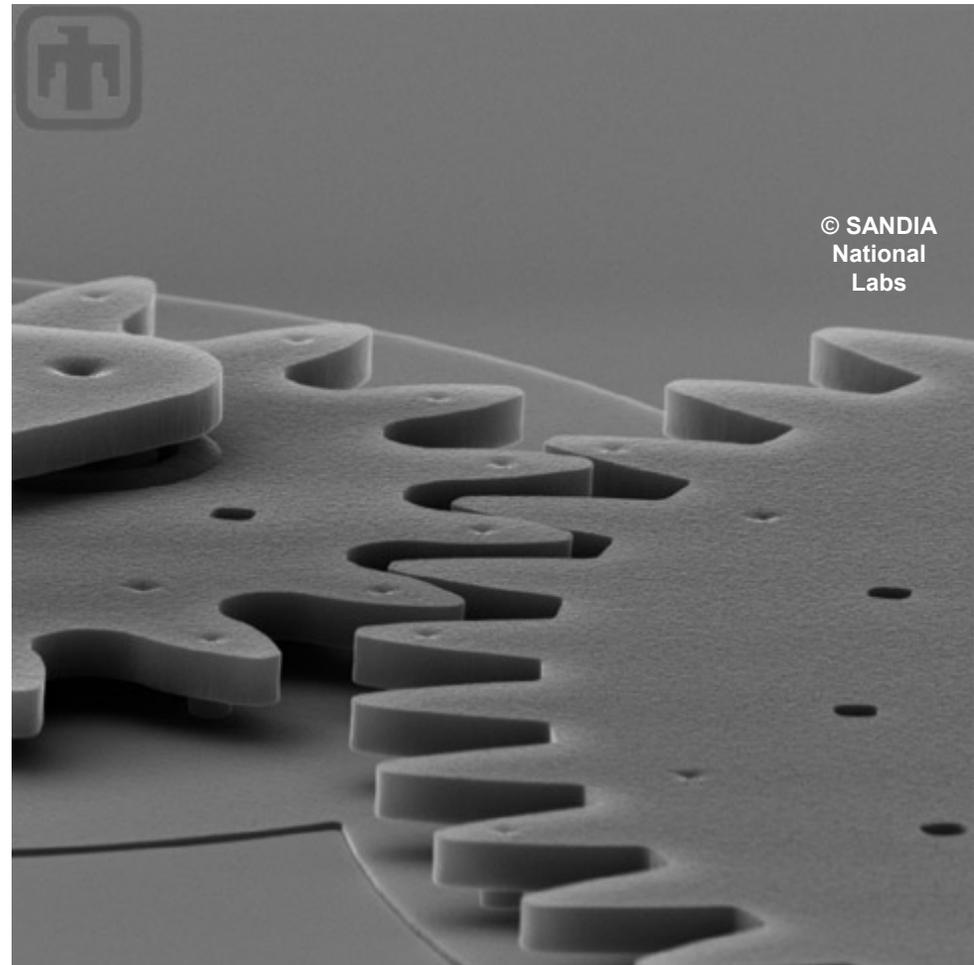
# Contents

- What are MEMS?
- MEMS in our daily lives
- How are MEMS made?
- Simulation
- Design
- *EC* Synthesis for MEMS
- *IEC* Synthesis for MEMS



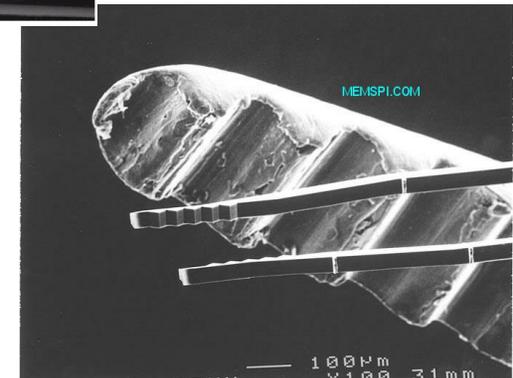
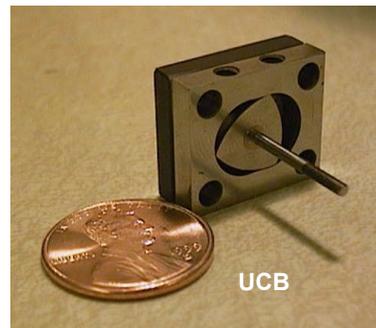
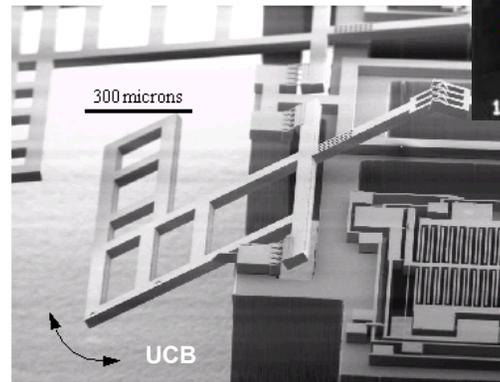
# What are MEMS?

- Micro Electromechanical Systems or *Micromachines*
- Very small electromechanical devices made on silicon
- Microfabrication, like computer chips



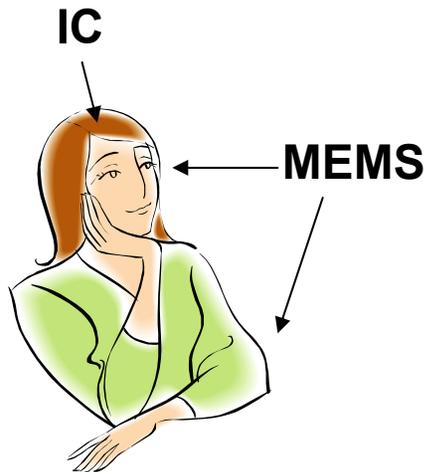
# What are MEMS?

- Applications for
  - Sensors
  - Robotics
  - Communications
  - Printer heads
  - Biotechnology
  - Energy Generation
- Component sizes  $< 10 \mu\text{m}$
- Device sizes  $< 1 \text{ cm}$



# What are MEMS?

- “Micro-Electro-Mechanical Systems (MEMS) is the integration of mechanical elements, sensors, actuators, and electronics.”



Integrated Circuits are the "**brains**" of a system and MEMS are "**eyes**" and "**arms**", to allow microsystems to *sense* and *control* the environment.

from <http://www.memsnet.org>

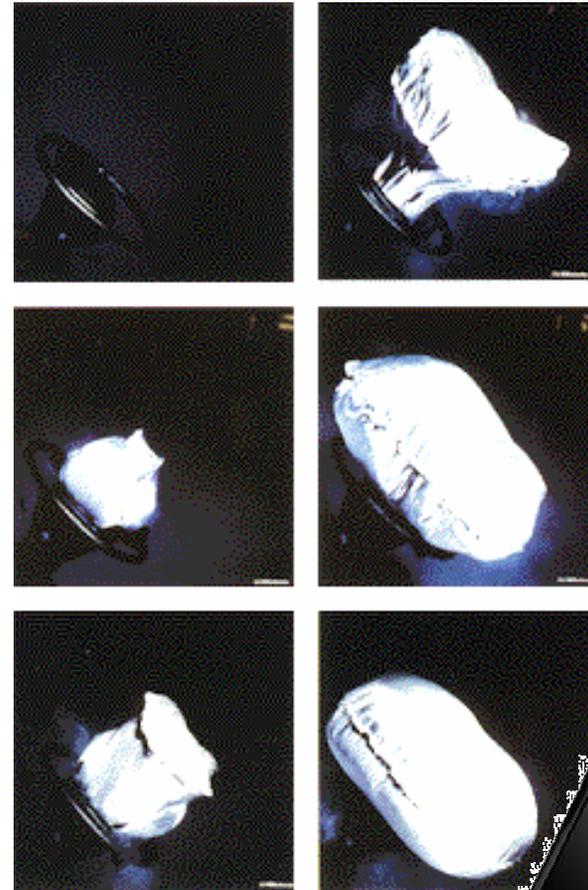
# A few cases of MEMS already in our daily lives...

- MEMS Accelerometers
- MEMS Gyroscopes
- Digital Micromirror Arrays



# MEMS Accelerometers

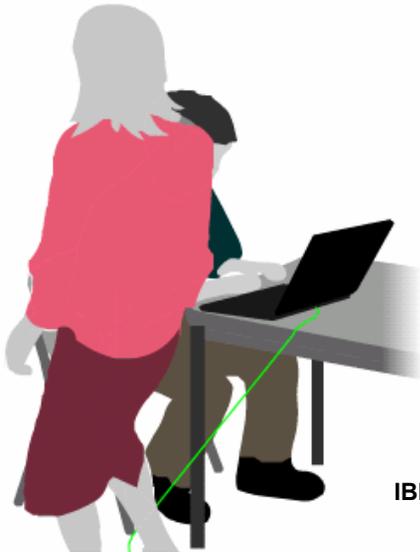
- Anywhere you need to measure motion
  - Car Airbags
    - when the car stops suddenly
  - Notebook PC
    - protect the hard drive



# MEMS Accelerometers

## IBM Active Protection System

---



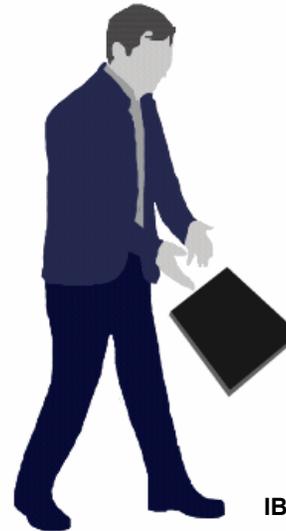
IBM



Sudden acceleration in notebook's motion triggers a signal to temporarily park hard drive's read/write head

## Active Protection System

---



IBM

The IBM Active Protection System offers protection for common notebook accidents found in everyday life.

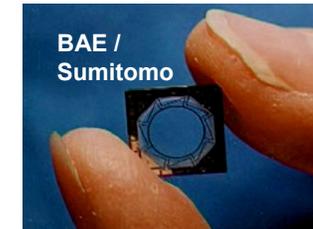


# MEMS Gyroscopes

- Measure angular rotation rate using Coriolis effect
- Used extensively in aerospace applications
- Now for **automobile** (stability control) and **consumer** applications (camcorders, Segway, etc)
- MEMS allows measurement in places never before possible



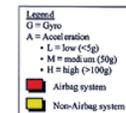
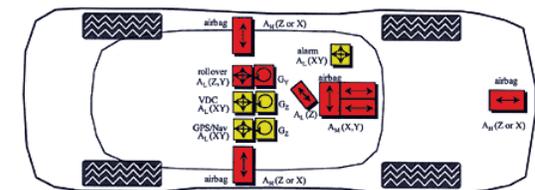
Traditional gyro



MEMS gyro



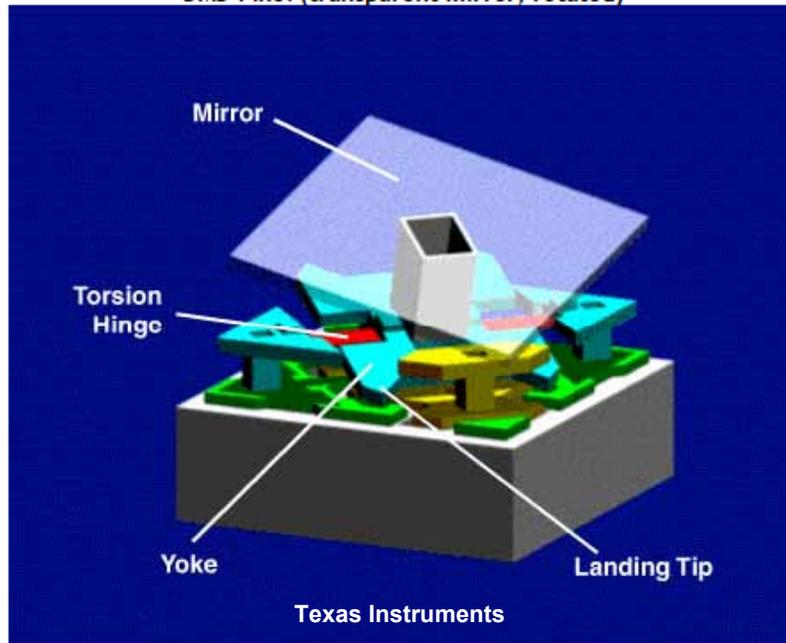
Analog Devices



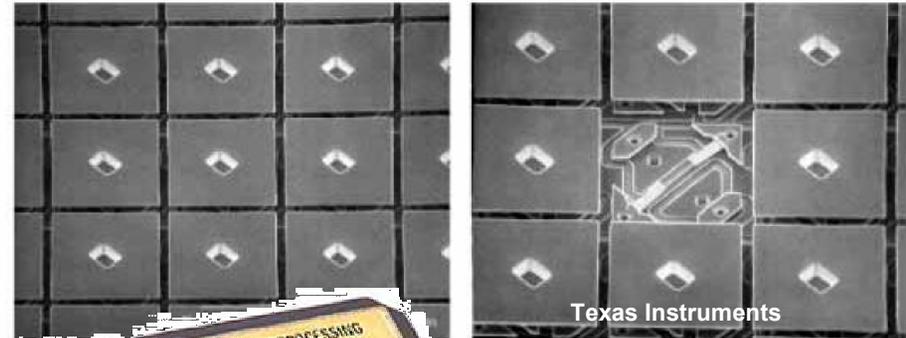
# Digital Micromirror Arrays

- By Texas instruments in '87
- Used in video projectors, hi-end TVs, digital cinema, etc.
- State of the art - HDTV:  $1920 \times 1080 = 2.7$  million mirrors

DMD Pixel (transparent mirror, rotated)



SEM photomicrographs of completed DMD chips after spacer removal



# MEMS Advantages

## MEMS advantages:

- Integrate the mechanical and electrical onto a single chip
- More functional, smaller, lighter, more reliable
- Drive down cost!
  - *Since volume production in '93, the ADXL 50 reduced auto airbag deployment system from \$100+ down to \$30.*  
(source: Analog Devices)
- Small size/low cost enables new applications (laptop, mobile phone, etc)



# How are MEMS made?

- Microfabrication
- Packaging



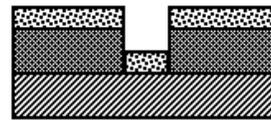
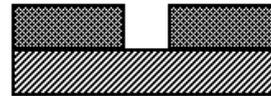
# Microfabrication

Subtractive Process

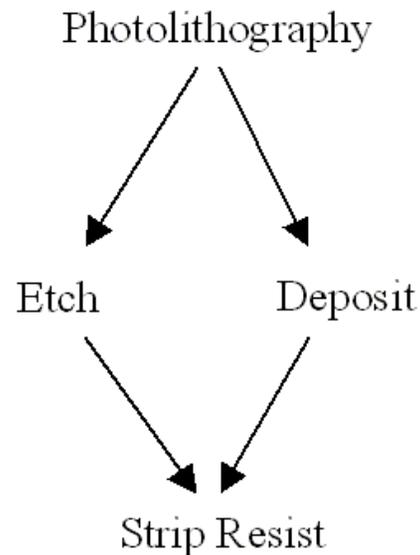


Pattern transfer  
by etching

Additive Process



Pattern transfer  
by lift off

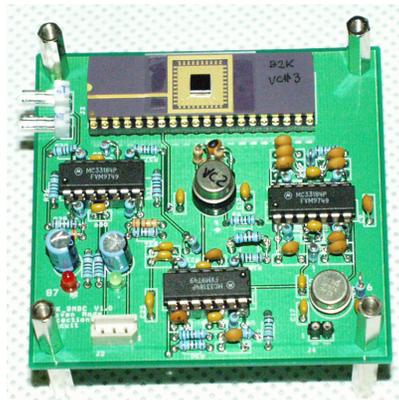
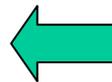
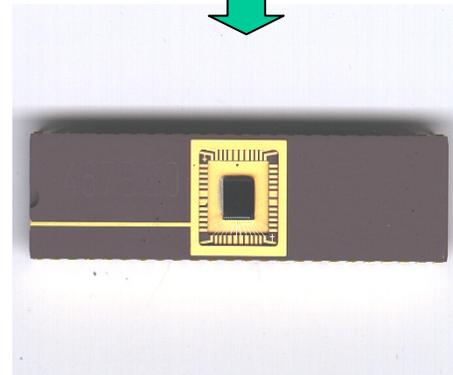
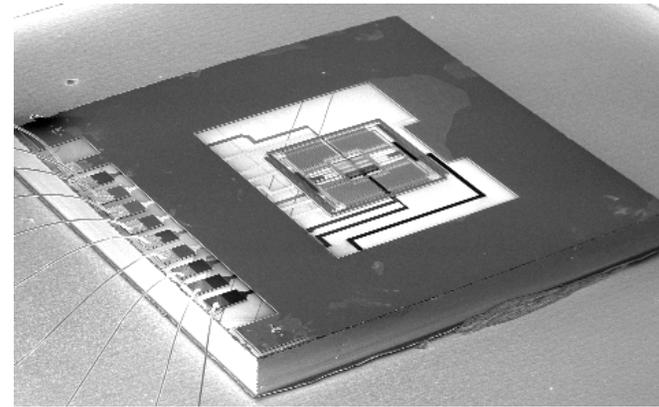
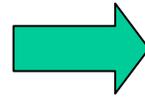
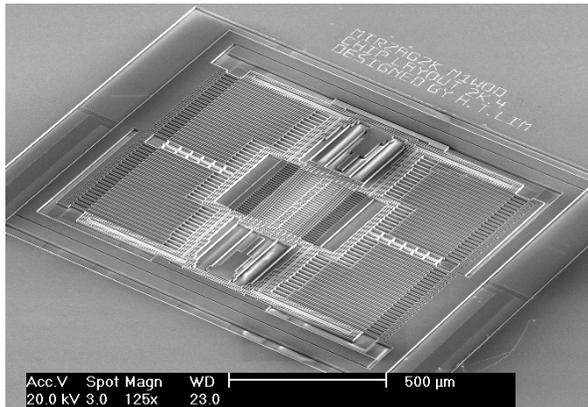


- By selectively etching away material or depositing new material on to areas not covered by protective patterns, a structure can be made.
- After multiple repetitions of these steps, a **Micromachine** is created.

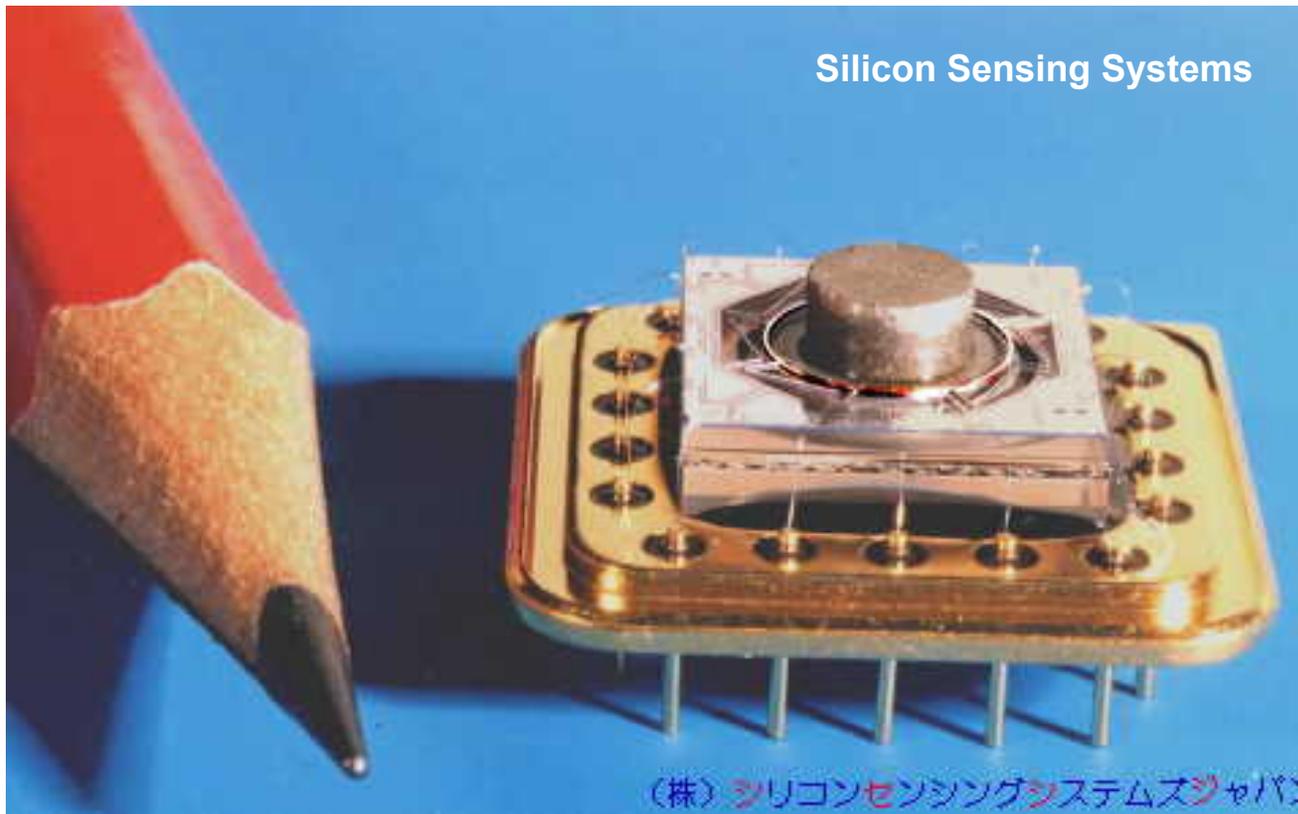
from <http://www.memsnet.org>



# MEMS Packaging

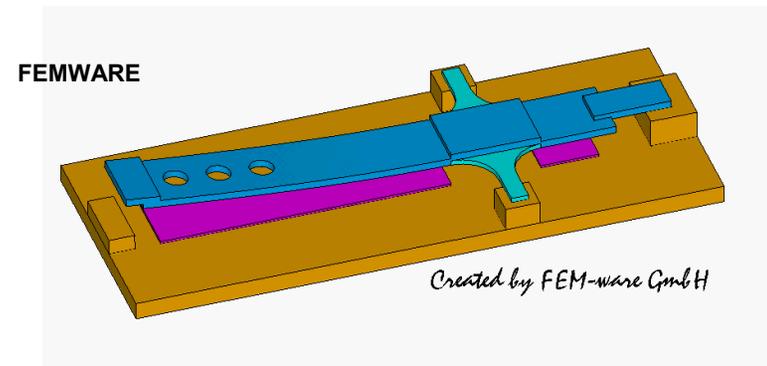
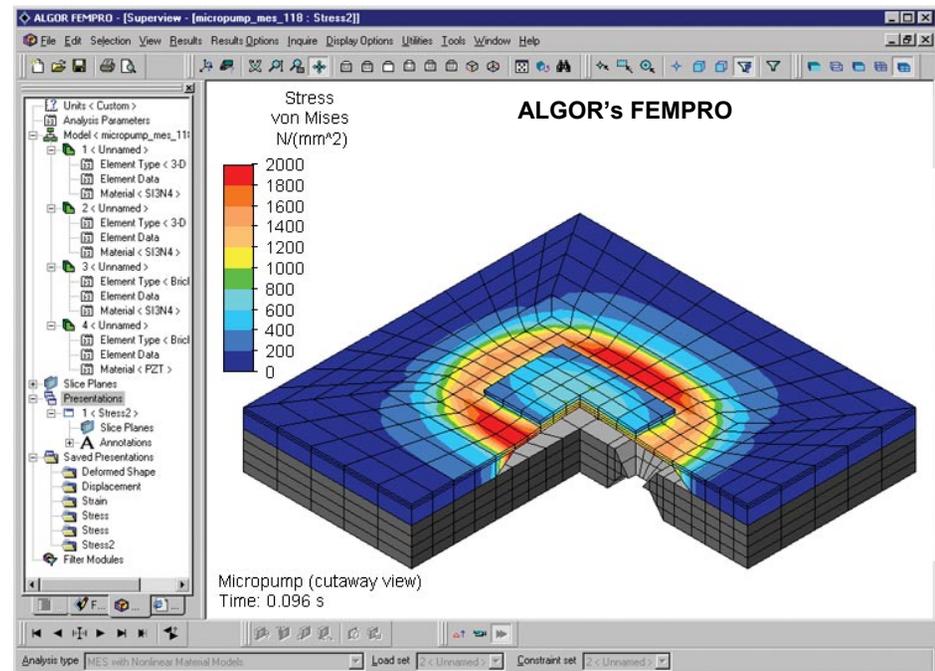


# MEMS Packaging



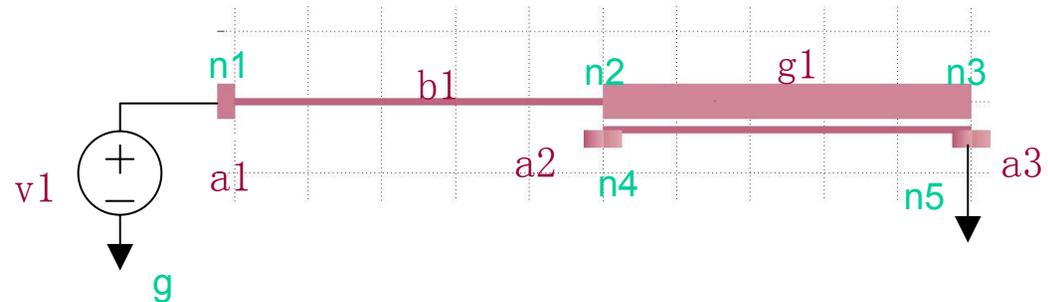
# MEMS Simulation-1

- Finite Element Modeling –
  - Capability of tools growing
  - Slow but accurate
  - Break up model into thousands of small elements
  - Multi-domain:
    - Mechanical/ Electrical/ Thermal/ Electrostatic/ Magnetic, etc.



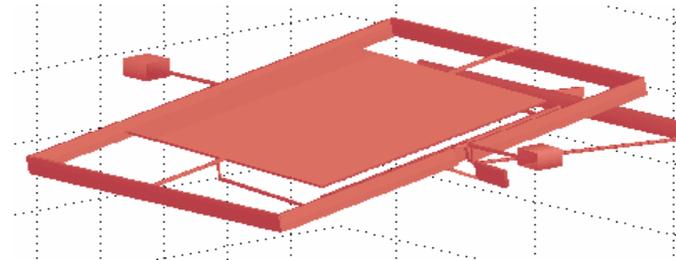
# MEMS Simulation-2

- Reduced Order Modeling –
  - ‘Spice for MEMS’
  - Modified Nodal Analysis
  - Orders of magnitude faster than FEM



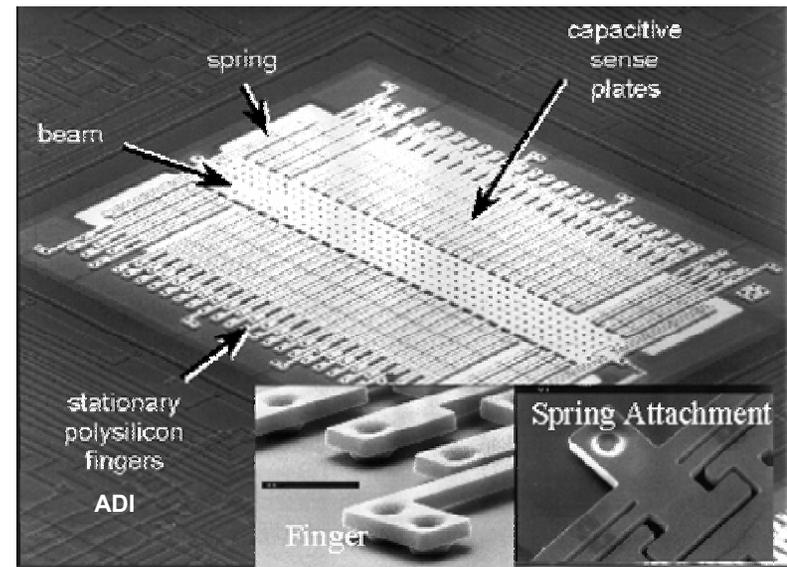
```
uses mumps.net
v1 Vsrc * [n1 g] [V=10]
e1 eground * [g] []
a1 anchor p1 [n1] [l=5e-6 w=10e-6 oz=180 R=100]
b1 beam2de p1 [n1 n2] [l=1e-4 w=2e-6 oz=0 R=1000]
g1 gap2de p1 [n2 n3 n4 n5] [l=1e-4 w1=1e-5 w2=2e-6 ...
gap=2e-6 R1=100 R2=100 oz=0]
a2 anchor p1 [n4] [l=5e-6 w=1e-5 oz=-90 R=100]
e2 eground * [n4] []
a3 anchor p1 [n5] [l=5e-6 w=1e-5 oz=-90 R=100]
e3 eground * [n5] []
```

- Caveat: Simulation is only as good as characterization!

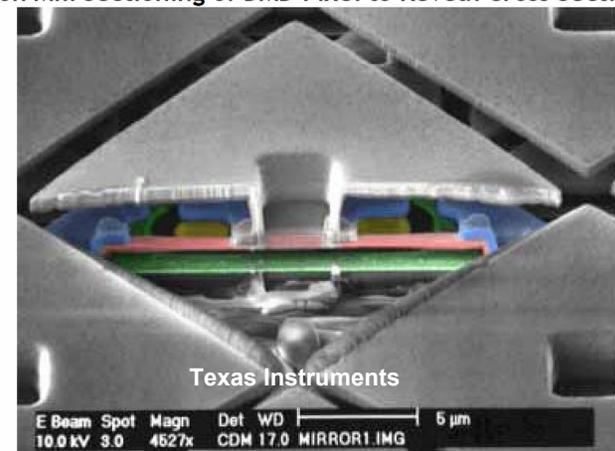


# MEMS Device Design

- Still an immature field
- Designs are becoming very complex –
  - Multiple competing objectives
  - Multiple constraints from fabrication, safety, practical limits, etc
  - Dozens of variables
- Fabrication of prototypes a *slow, expensive* process

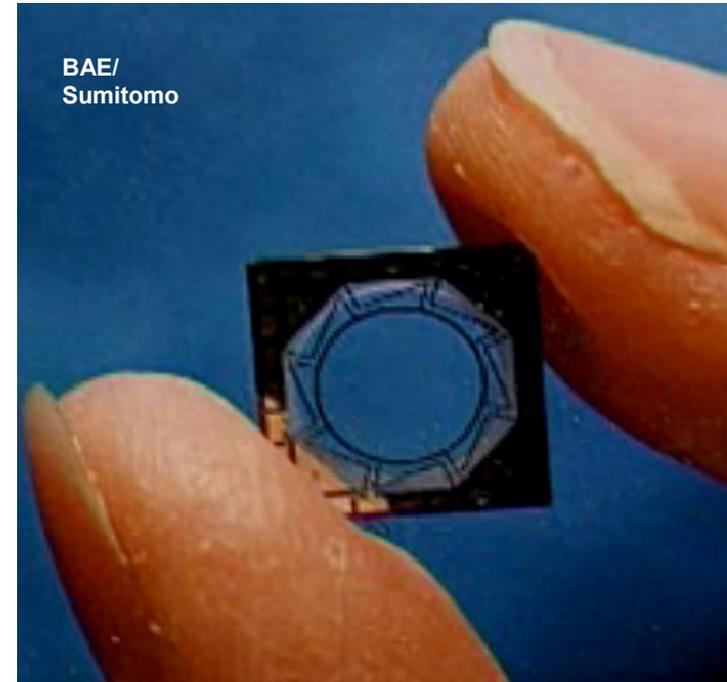


Ion Mill Sectioning of DMD Pixel to Reveal Cross Section

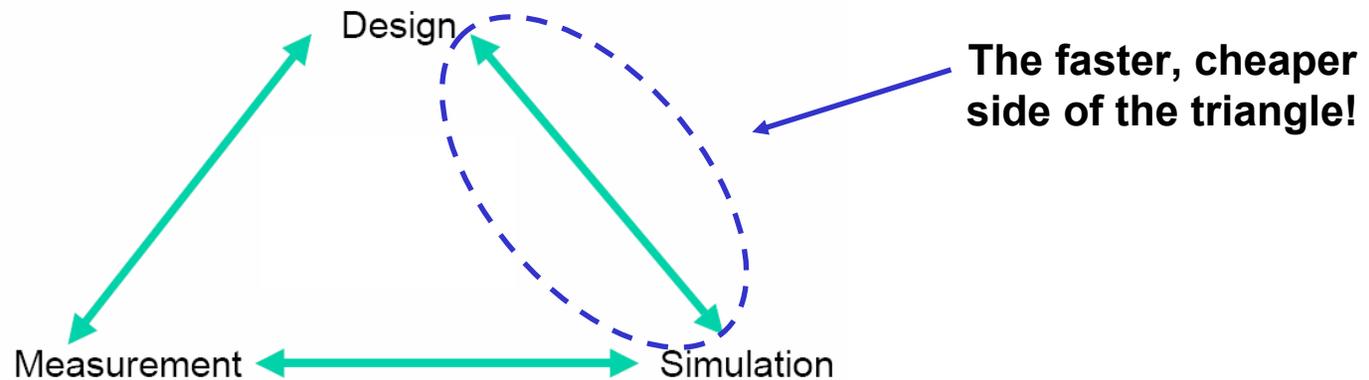


# State of the Industry...

- Current design process very limited, simple
  - Hand calculations
  - FEM for confirmation
  - Grid Search (at best!)
  - Trial and error (fab/test/repeat)
- MEMS developing into competitive market
  - Applications/complexity growing rapidly
    - Inertial sensors, optical switches, etc already successful products
  - Improved design techniques required as market matures



# The Solution: MEMS Design Synthesis Tools



- **What?** Automatically generate designs with a desired performance
- **Why?** Faster, more capable than manual design/hand calculations
  - More CAD = Less time in the fab = shorten design cycle = save \$\$\$!
  - Improved performance (multiple objectives)
    - Too many knobs to turn by hand!



# The Solution: MEMS Design Synthesis Tools

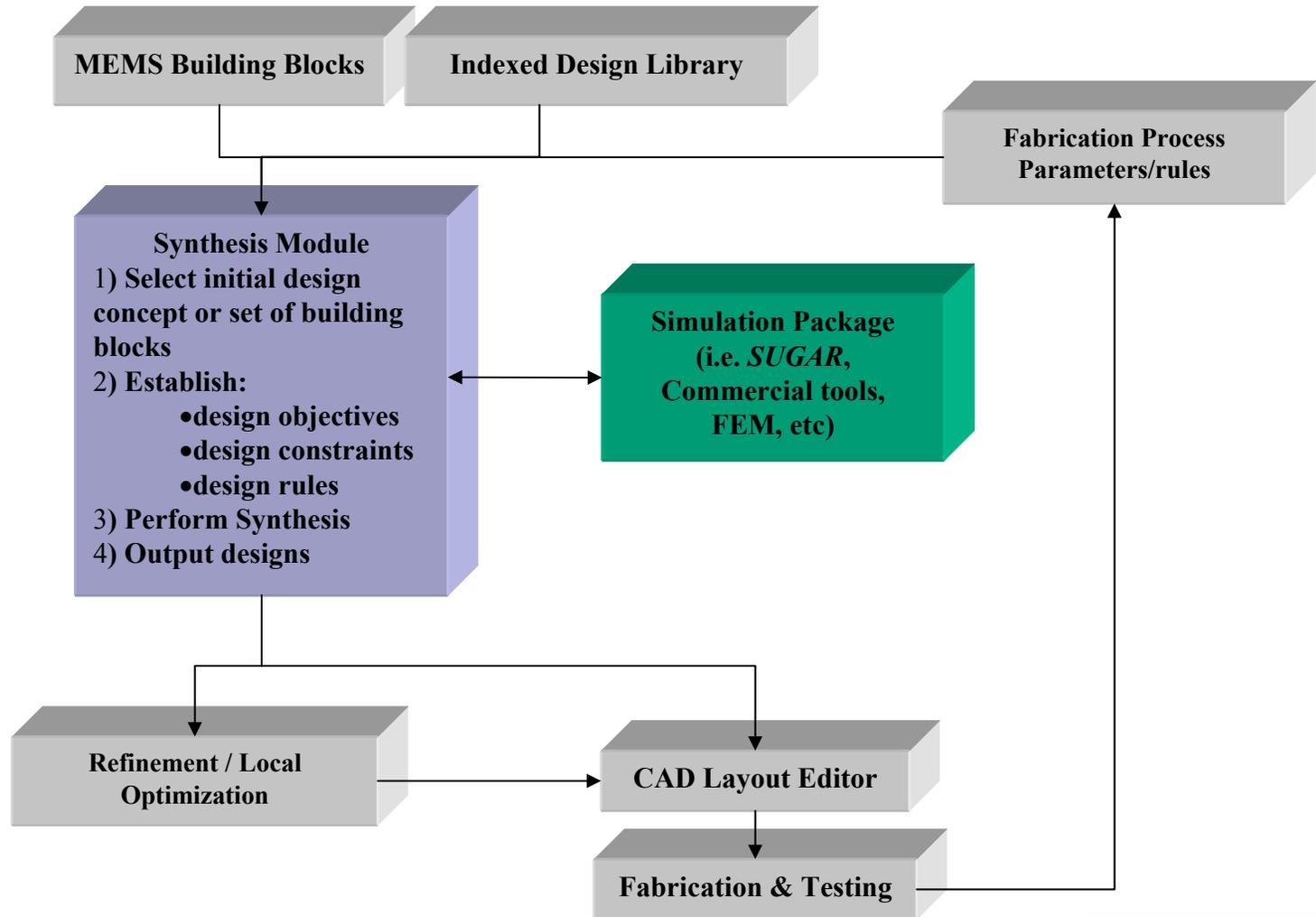
- **How?**

Use Evolutionary Synthesis/Optimization algorithms

- Can deal with
  - discrete variables
  - non-convex spaces
- Require only 'black box' evaluation from simulator
  - No gradients, no equations needed
- Configuration optimization + size optimization
  - Not just optimizing lengths and widths of components
  - Decide how many components, how they are connected

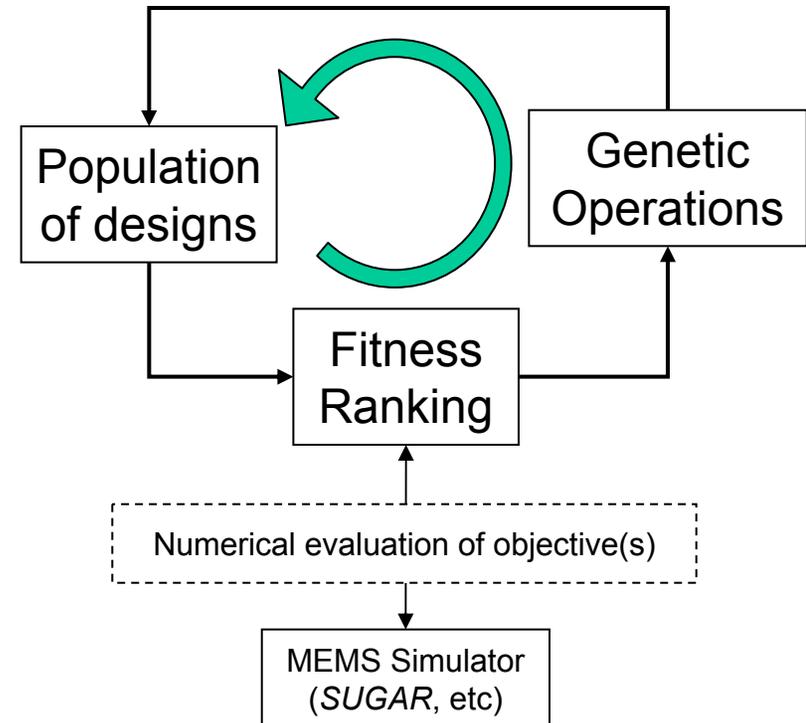


# Proposed Architecture for MEMS Synthesis Tool



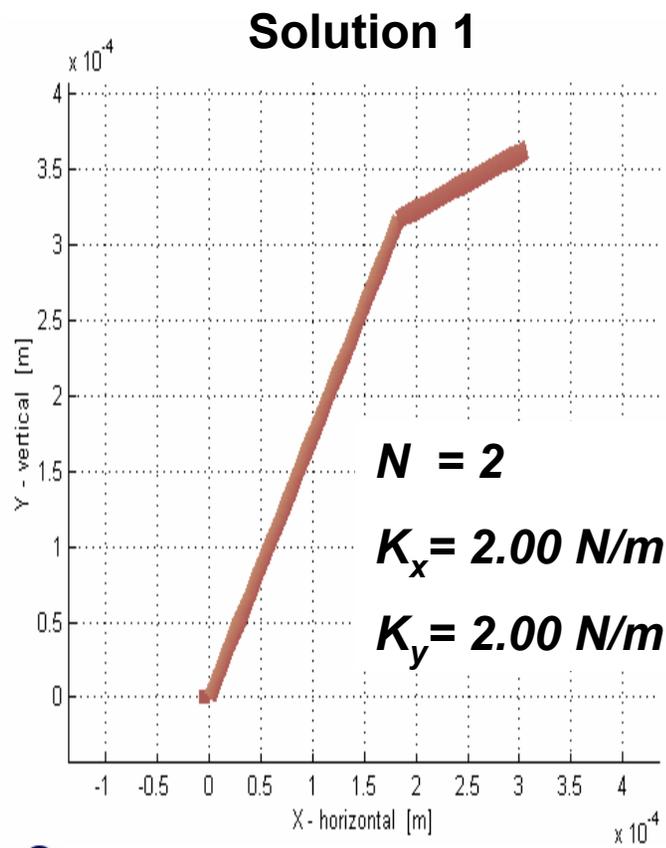
# Use of EC for MEMS Design Synthesis

- Genetic Algorithms (GA)
  - Multiobjective GA (MOGA) balances multiple competing objectives
  - A population of “good” candidate designs returned at end of evolution
- Simulated Annealing (SA)



# Simple Example – Synthesis of a MEMS Spring

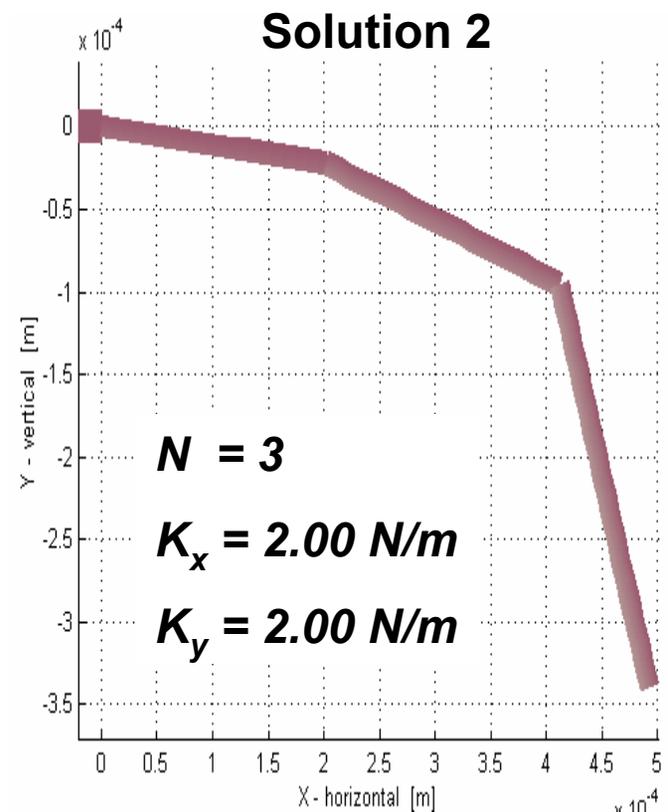
2 Objectives:  $K_x = 2.00 \text{ N/m}$   $K_y = 2.00 \text{ N/m}$



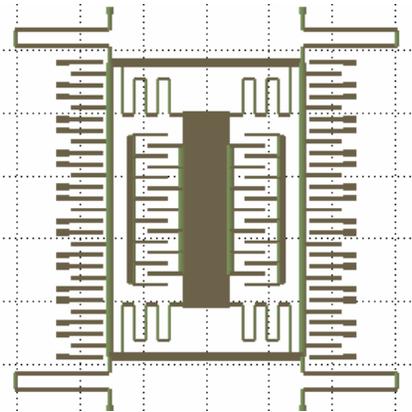
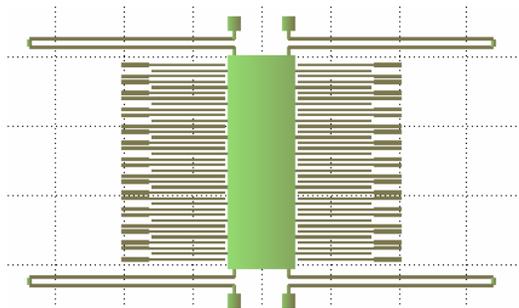
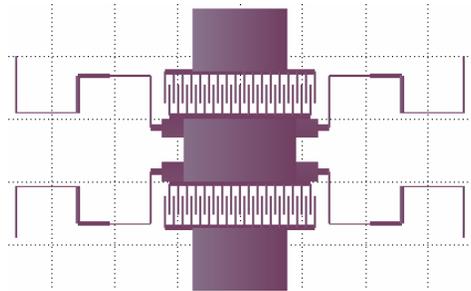
Genotype  
Encoding:

$l_1$	$w_1$	$\theta_1$
$l_2$	$w_2$	$\theta_2$
$l_3$	$w_3$	$\theta_3$
$l_4$	$w_4$	$\theta_4$
$\vdots$	$\vdots$	$\vdots$
$l_N$	$w_N$	$\theta_N$

Dimensions  
of  $N$  beam  
segments in  
one spring



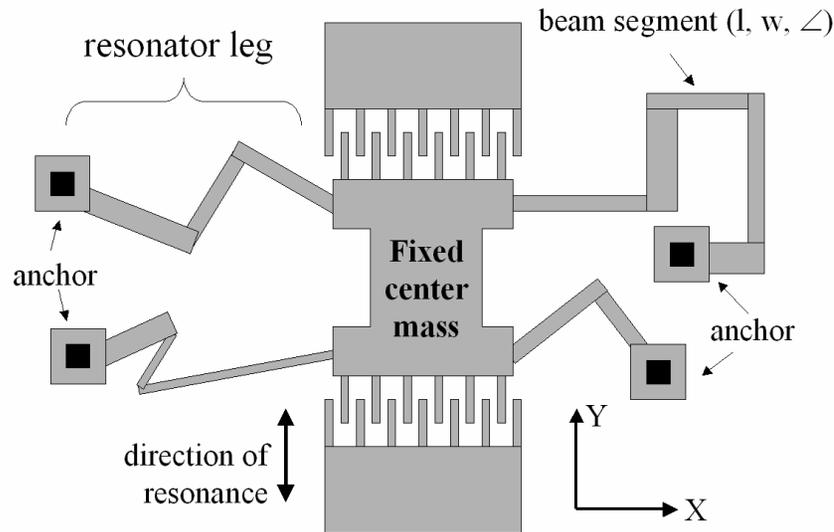
# MEMS Application Examples



- Devices
  - Resonating Mass
  - Accelerometer
  - Gyroscopes
- Constraints
  - Symmetry?
  - Manhattan Angles?
- Potential Objectives
  - Resonant Frequency  $\omega_r$
  - Suspension Stiffness -  $K$
  - Mode Shape
  - Device Area
  - Measurement Sensitivity

# Resonating Mass Example

Design (phenotype):



- Mass suspended by springs, actuated by electrostatics
- Configuration of center mass fixed
- Legs variable

- Apply MOGA - two to four design objectives:

- $\omega_r$
- Area
- $K_y$
- $K_x$

- Universal Constraints:

- Min/max beam dimensions
- Resonance in Y-direction

Encoding (genotype):

Geometry info for each of the  $q$  legs of design

$$\begin{bmatrix} \text{Leg}_1 \\ \text{Leg}_2 \\ \vdots \\ \text{Leg}_q \end{bmatrix}$$

$$\begin{bmatrix} l_1 & w_1 & \theta_1 \\ l_2 & w_2 & \theta_2 \\ l_3 & w_3 & \theta_3 \\ l_4 & w_4 & \theta_4 \\ \vdots & \vdots & \vdots \\ l_m & w_m & \theta_m \end{bmatrix}$$

Dimensions of  $m$  beam segments in one leg

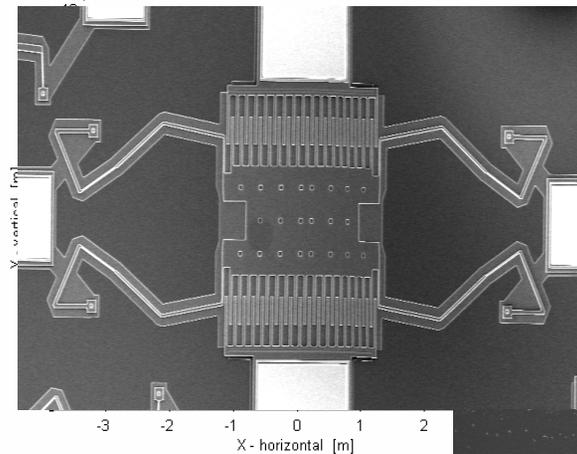


# Synthesis Validation

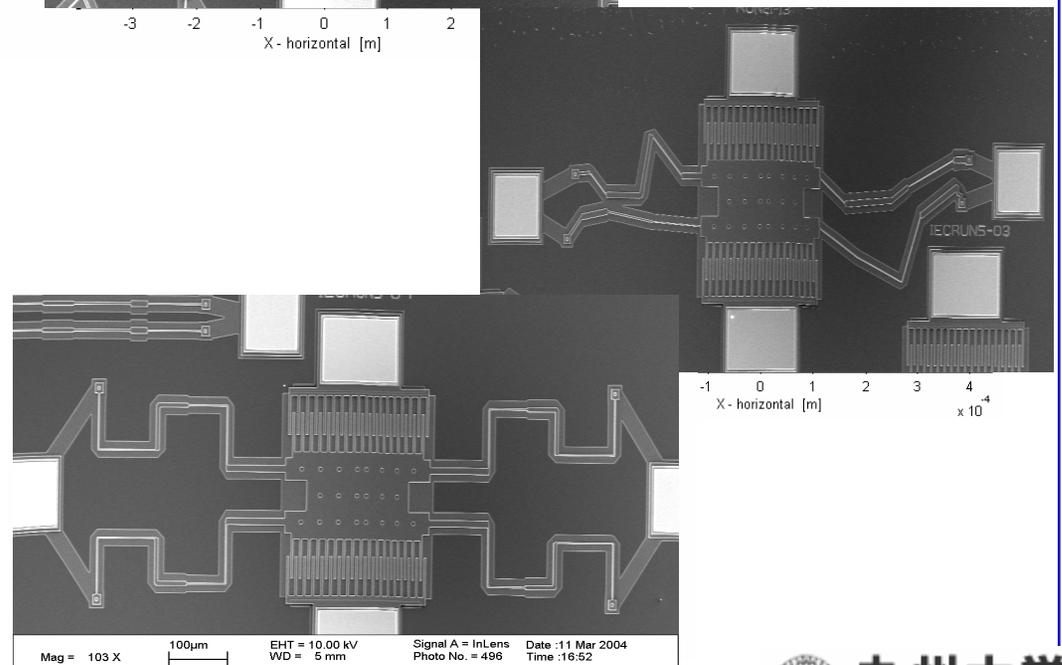
*“Closing the Loop”*

## 4 objective GA resonator synthesis and characterization:

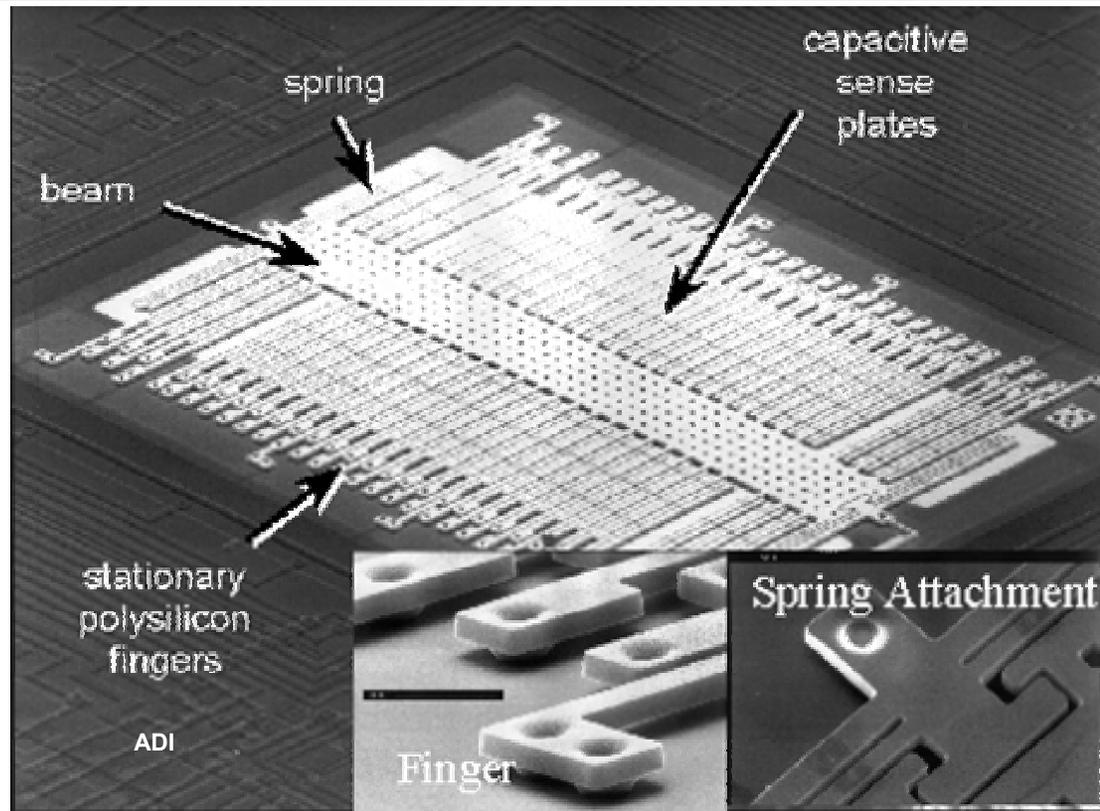
- Further characterizes MUMPs process
- Improved our understanding of impact of constraints and objectives on fabricated structures
- Validates this approach



- Goal  $\omega_r = 10\text{kHz}$
- PolyMUMPs(run # 60)
- 36 unique designs
- 149  $\omega_r$  measurements
- Beam Overetch =  $\sim 0.3 \mu\text{m}$
- $E = \sim 160 \text{ GPa}$
- Poly1  $\sigma_{\text{res}} > 5 \text{ MPa}$



# ADXL-type Accelerometer Example

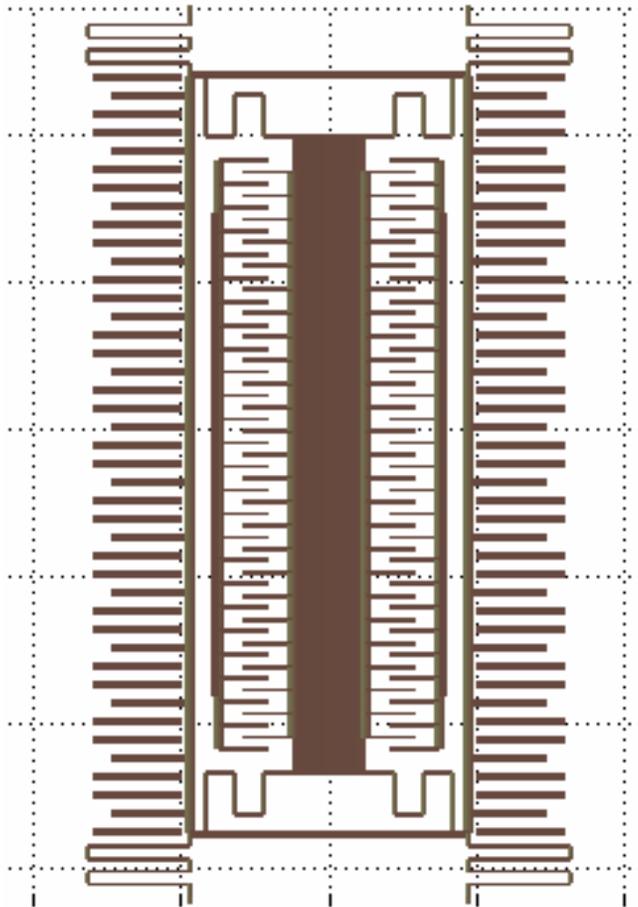


Please see Y. Zhang's "*Design Synthesis of Microelectromechanical Systems Using Genetic Algorithms with Component-Based Genotype Representation*" at *GECCO-06* for the latest research in MEMS Accelerometer Synthesis



# Vibratory Rate Gyro Example

Similar to W. Clark or C. Acar style VRGs



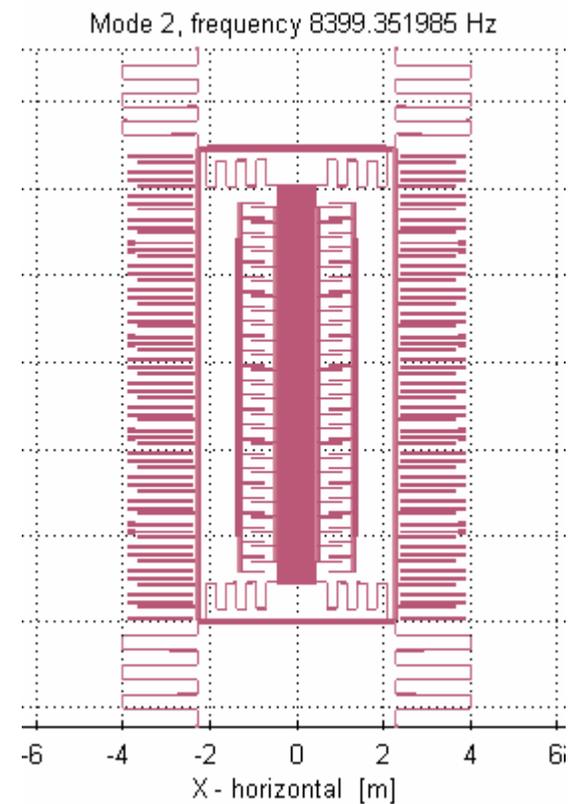
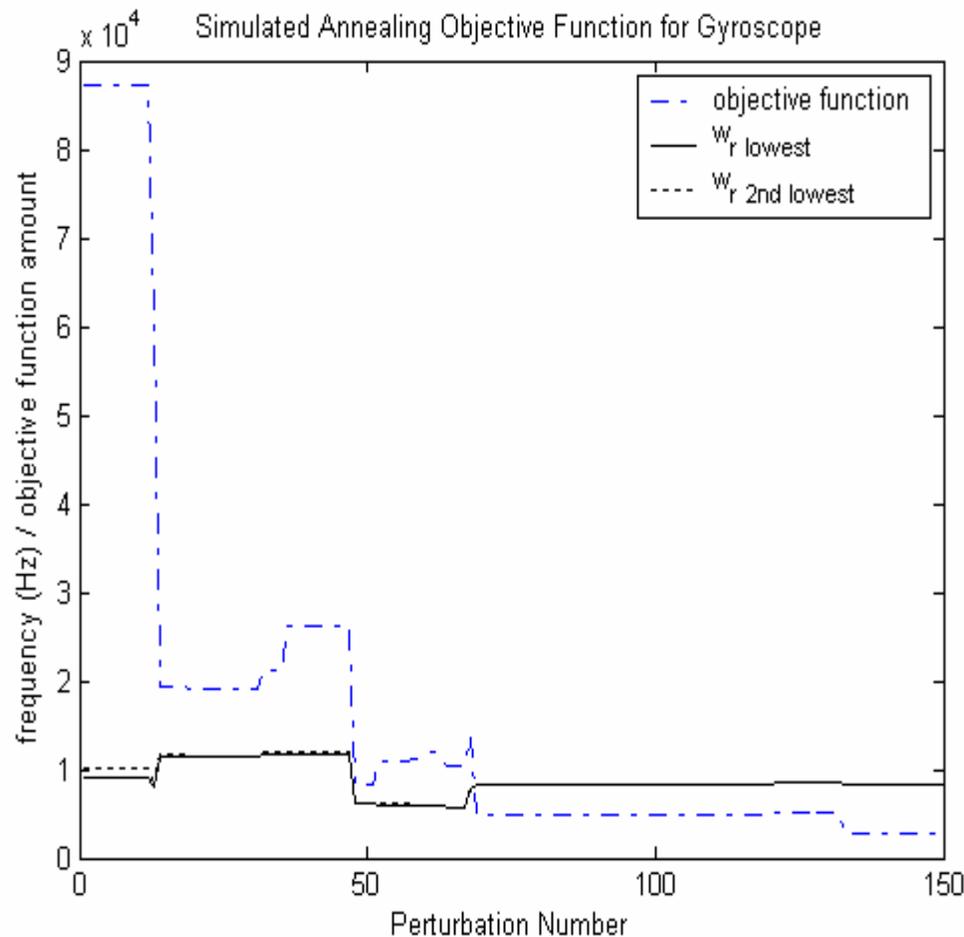
$\omega_{r \text{ goal}} = 10 \text{ kHz}$      $\Delta\omega_r = \text{minimized}$   
 $k = \text{weighting factor} = 10, 100$

- Match 1<sup>st</sup> and 2<sup>nd</sup> resonance modes as closely as possible (@ 10 Hz)
- Use modeshape to verify direction of resonance (from eigenvectors)
- Simulated Annealing objective function:

$$F(x) = \left| \omega_{r\text{-lowest}} - \omega_{\text{goal}} \right| + k \left| \omega_{r\text{-lowest}} - \omega_{r\text{-2nd\_lowest}} \right|$$



# Example Gyro Synthesis Output



# Issues with EC for MEMS Synthesis

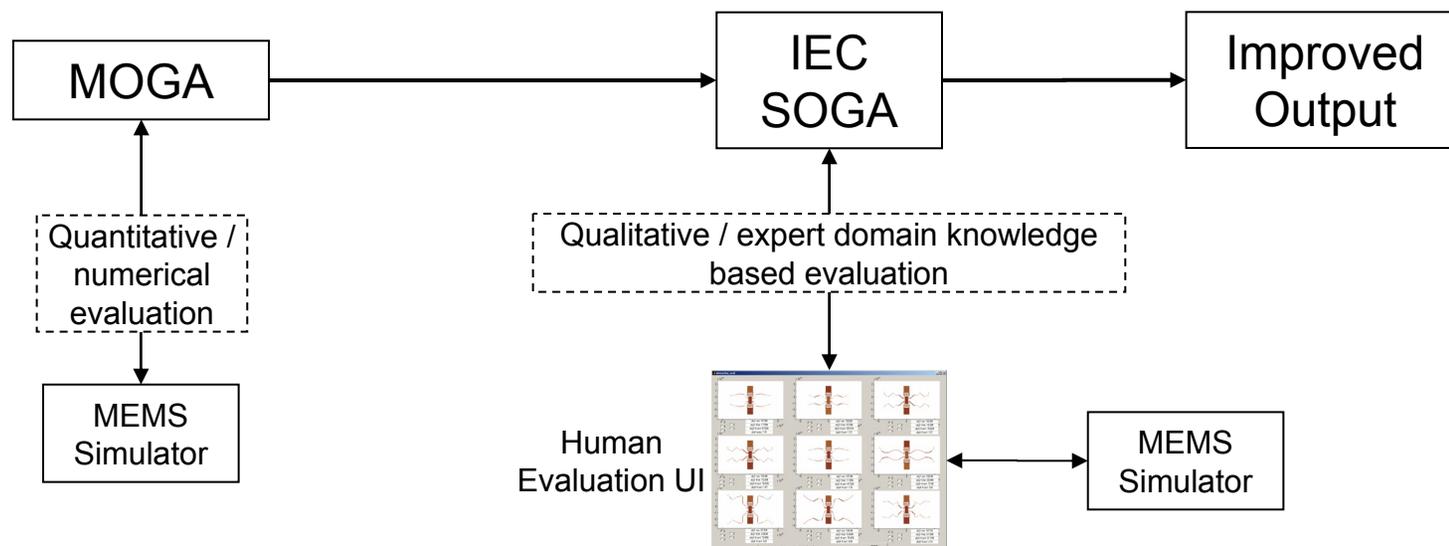
- GAs play by the rules you give them, whether they are complete or not.
  - *It will find the holes in your formulation!*
- There are limitations in simulators
  - Non-simulatable phenomenon can not be included in fitness ranking.
- Not every rule or guideline can be turned into a line of code...

- Our solution:
  - Use ***Interactive Evolutionary Computation (IEC)***
    - based on human's evaluation of fitness
    - Originally developed for aesthetic or sensory design
  - Human interaction allows 'implied' constraints
  - Expert domain knowledge / experience can guide the evolution process.



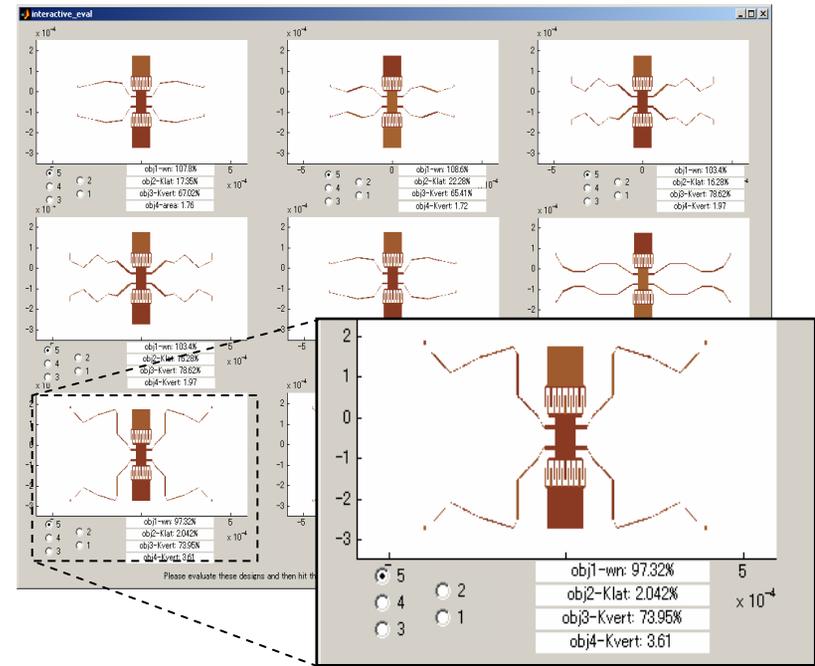
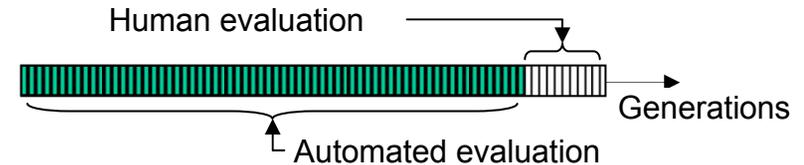
# IEC for MEMS Synthesis 1:

- First iteration: MOGA+IEC
- Take output of automated MOGA and do single objective GA (SOGA) using human evaluation.
- Human can 'hone' output.



# IEC for MEMS Synthesis 1:

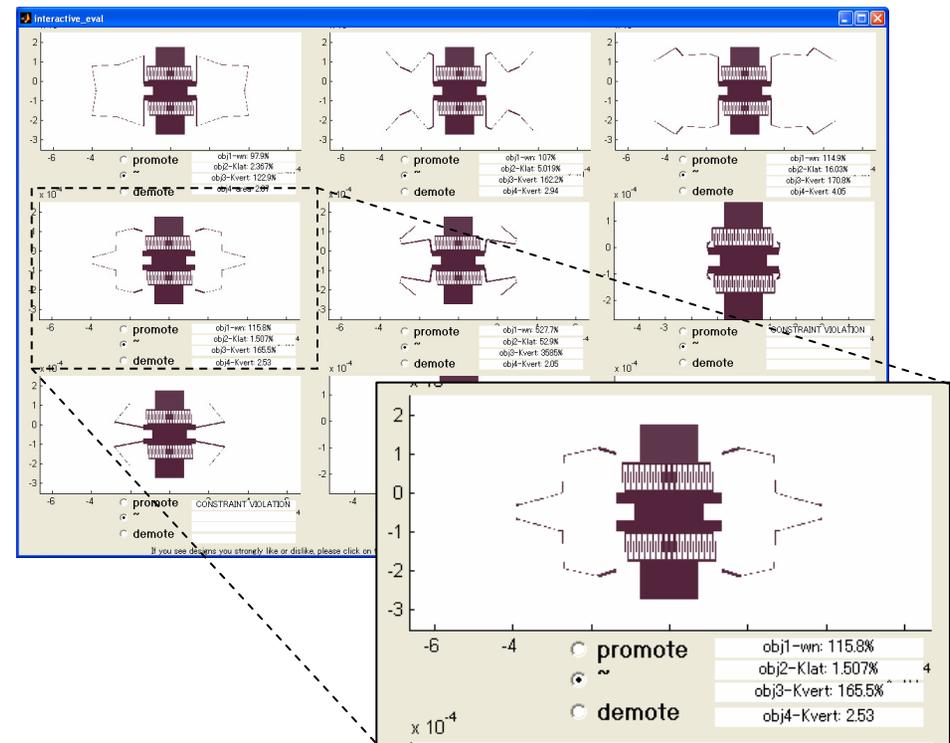
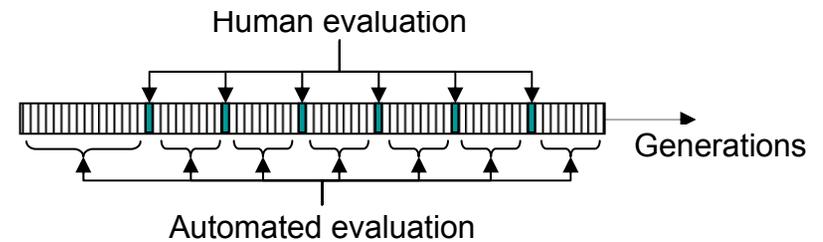
- Human shown design topology AND simulation results
- Human gives evaluation based on their expert knowledge/ experience
- Constraints/ Objectives applied by human need not be pre-determined or static.



- User study shows significant improvement using MOGA+IEC vs. MOGA alone

# IEC for MEMS Synthesis 2:

- Alternate IEC implementation:
  - Supervisory: Better combination of expertise of human with tirelessness of computer
  - Intersperse human into automated EC
  - Change from 'absolute score' to relative ( + / ~ / - )
    - use Pareto Rank shifting
  - Human effort reduced significantly
  - Produces better designs than automated EC alone.



# Industry Reaction to Automated Synthesis for MEMS

- Resistant to automated synthesis
  - Not Programmers!
    - ...usually are fab experts, not designers.
  - Many applications are beyond the scope of practical simulation tools
  - Want more direct interaction with problem
    - Don't like being hands-off
    - Our current IEC is not enough!
      - Can't change specific variables, etc
- Need to find a middle ground between automated and manual:
  - 'Computational Steering' / Interactive Design Synthesis



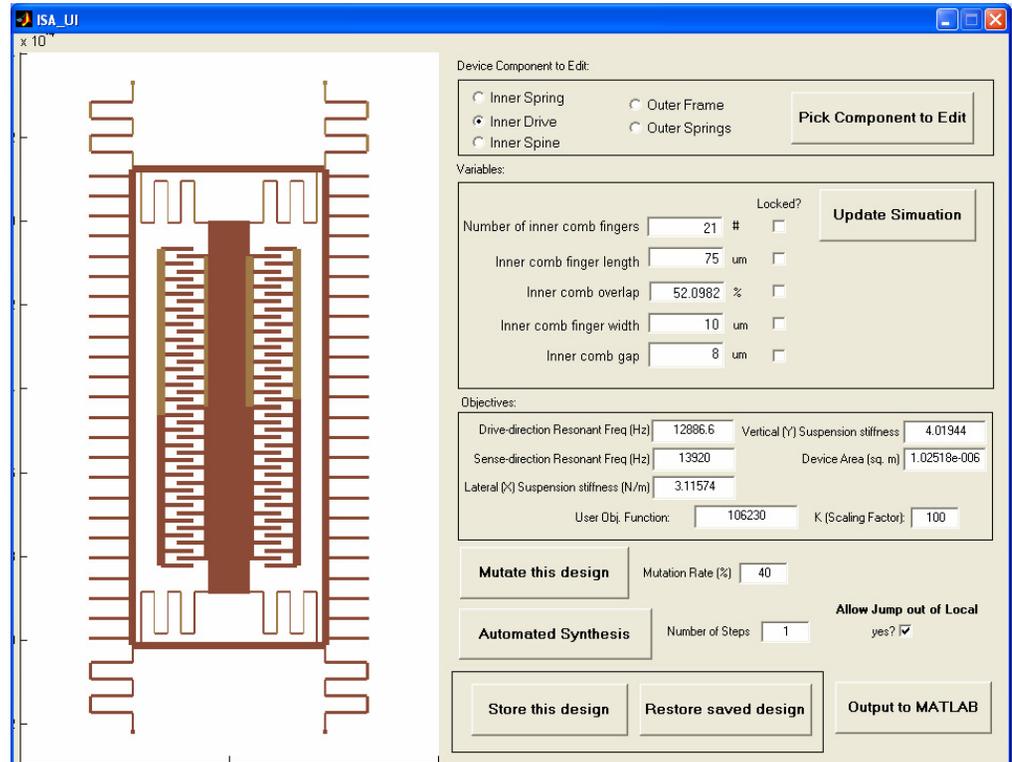
# Proposed Solution

- A MEMS CAD GUI for design modification, simulation and synthesis
- Offer simple evolutionary synthesis capability:
  - Random Walk
  - Simulated Annealing (SA)
- Closer to Parmee's approach to IEC than to our traditional one.
  - Parameters of automatic EC search are controlled by human interactively.



# Interactive Evolution GUI:

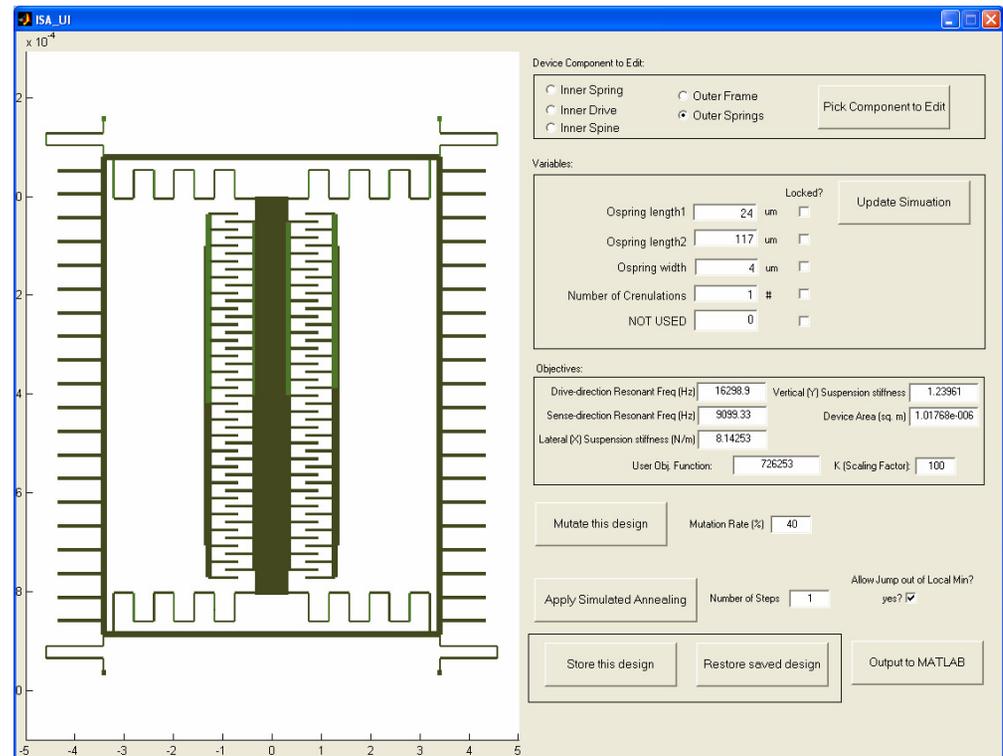
- Interactive CAD Simulator
  - Independently adjust desired variables
  - Get performance #'s from simulator package
- Synthesis Tool
  - Single mutation step
  - Multi-step Random Walk or SA
  - User can lock desired variables
    - Reduces search space



Can be used as fully manual parameter tuning or fully automated synthesis or somewhere in between

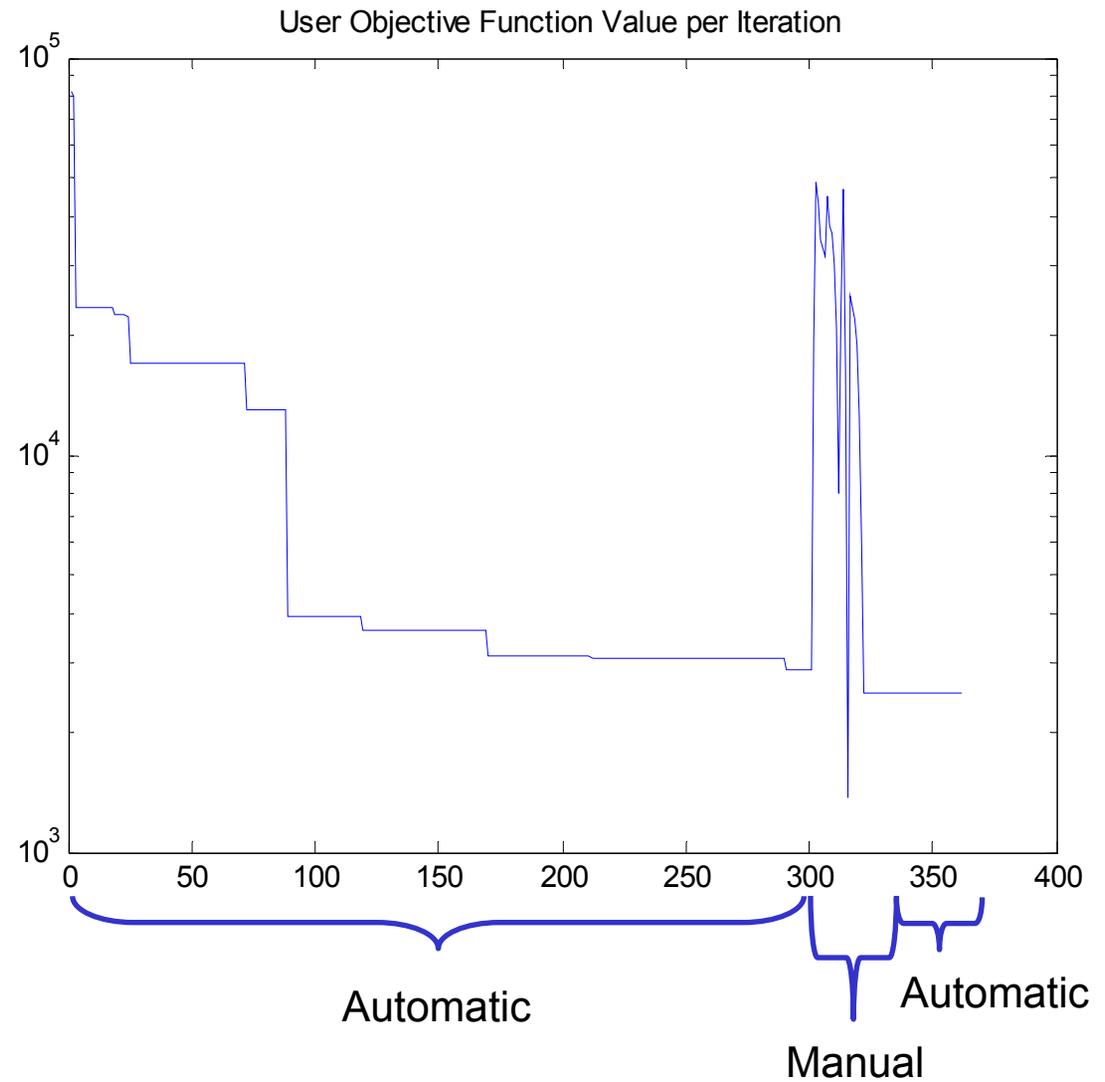
# Interactive Evolution GUI:

- Generality / flexibility important.
  - Simple, standardized data structure
- Interface via MATLAB with any simulation package
  - SUGAR, FEA, etc
- User need only create functions to interface with simulator only.



# Interactive Evolution GUI: Results

- Able to find designs
  - Use pattern depends on user
    - Manual → Automatic?
    - Automatic → Manual?
  - Hard to quantify this performance!
- Process of using this tool also helps provide engineer with design experience / knowledge



# Conclusions

- Summary
  - MEMS an important new field in need of design tools
  - Interactive evolution based MEMS synthesis introduced
  - Based on Industry feedback / lack of interest –
    - Search for proper balance between automatic and completely manual design approach needed
    - Keeping it flexible and generic is key!
- Further work
  - Alternate approaches?
  - Additional features?
  - Try to get industry to adopt these methods.



# Questions? Acknowledgements

- For more information
  - Please see <http://me.berkeley.edu/~raffi> for PDFs of our papers
- BEST LAB UC Berkeley – [best.berkeley.edu](http://best.berkeley.edu)
  - Professor Alice Agogino, Ying Zhang, Ningning Zhou
- Takagi Lab – Kyushu University
  - Professor Hideyuki Takagi
- <http://bsac.berkeley.edu/cadtools/sugar>
  
- Acknowledgements
  - Japanese Society for the Promotion of Science
  - US National Science Foundation

