

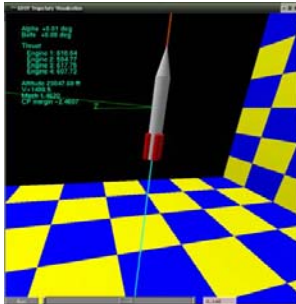
Evolving Neural Networks

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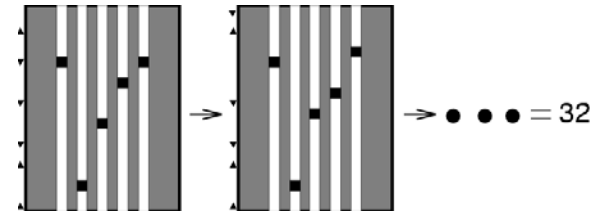
Why Neuroevolution?



- Neural nets powerful in many statistical domains
 - E.g. control, pattern recognition, prediction, decision making
 - Where no good theory of the domain exists
- Good supervised training algorithms exist
 - Learn a nonlinear function that matches the examples
- What if correct outputs are not known?

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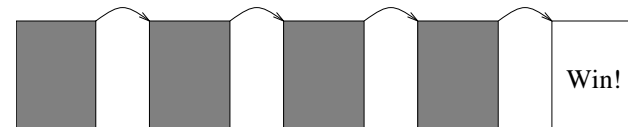
Sequential Decision Tasks



- POMDP: Sequence of decisions creates a sequence of states
- No targets: Performance evaluated after several decisions
- Many important real-world domains:
 - Robot/vehicle/traffic control
 - Computer/manufacturing/process optimization
 - Game playing

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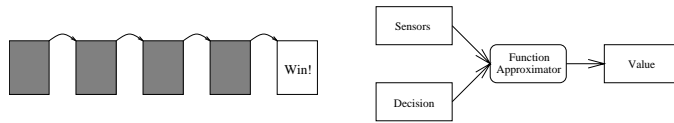
Forming Decision Strategies



- Traditionally designed by hand
 - Too complex: Hard to anticipate all scenarios
 - Too inflexible: Cannot adapt on-line
- Need to discover through exploration
 - Based on sparse reinforcement
 - Associate actions with outcomes

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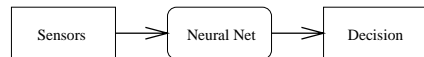
Standard Reinforcement Learning



- AHC, Q-learning, Temporal Differences
 - Generate targets through prediction errors
 - Learn when successive predictions differ
- Predictions represented as a value function
 - Values of alternatives at each state
- Difficult with large/continuous state and action spaces
- Difficult with hidden states

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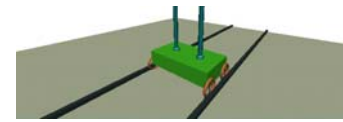
Neuroevolution (NE) Reinforcement Learning



- NE = constructing neural networks with evolutionary algorithms
- Direct nonlinear mapping from sensors to actions
- Large/continuous states and actions easy
 - Generalization in neural networks
- Hidden states disambiguated through memory
 - Recurrency in neural networks⁶⁹

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How well does it work?

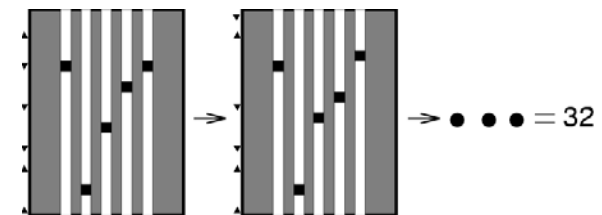


Poles	Method	Evals	Succ.
One	VAPS	500,000	0%
	SARSA	13,562	59%
	Q-MLP	11,331	
	NE	127	
Two	NE	1,249	

- Difficult RL benchmark: Non-Markov Pole Balancing
- NE 3 orders of magnitude faster than standard RL
- NE can solve harder problems

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Role of Neuroevolution



- Powerful method for sequential decision tasks^{21,46,81}
 - Optimizing existing tasks
 - Discovering novel solutions
 - Making new applications possible
- Also may be useful in supervised tasks^{41,51}
 - Especially when network topology important
- Unique model of biological adaptation and development^{47,56,75}

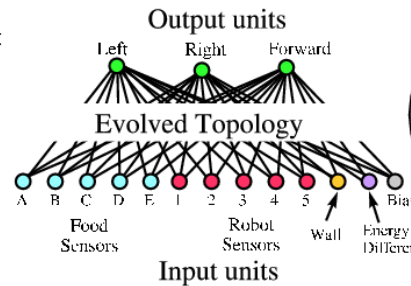
Outline

- Basic neuroevolution techniques
- Advanced techniques
 - E.g. combining learning and evolution
- Extensions to applications
- Application examples
 - Control, Robotics, Artificial Life, Games

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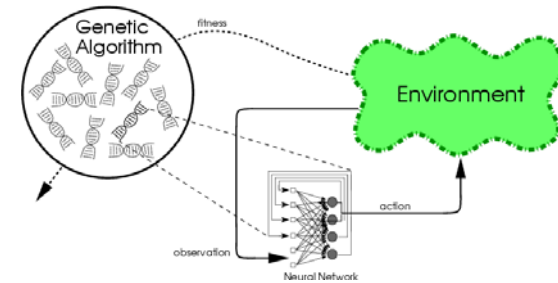
Neuroevolution Decision Strategies

- Input variables describe the state
- Output variables describe actions
- Network between input and output
 - Hidden nodes
 - Weighted connections
- Execution:
 - Numerical activation of input
 - Nonlinear weighted sums
- Performs a nonlinear mapping
 - Memory in recurrent connections
- Connection weights and structure evolved



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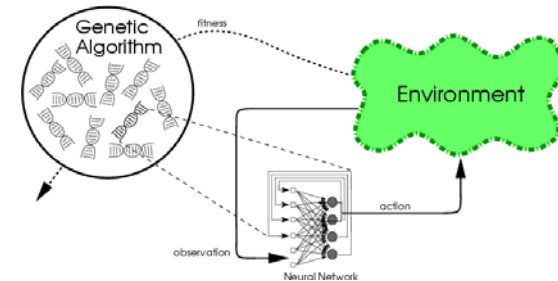
Conventional Neuroevolution (CNE)



- Evolving connection weights in a population of networks^{41,57,81,82}
- Chromosomes are strings of weights (bits or real)
 - E.g. 10010110101100101111001
 - Usually fully connected, fixed topology
 - Initially random

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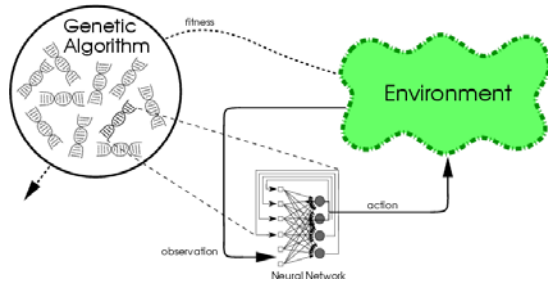
Conventional Neuroevolution (2)



- Each NN evaluated in the task
 - Good NN reproduce through crossover, mutation
 - Bad thrown away
 - Over time, NNs evolve that solve the task
- Natural mapping between genotype and phenotype
- GA and NN are a good match!

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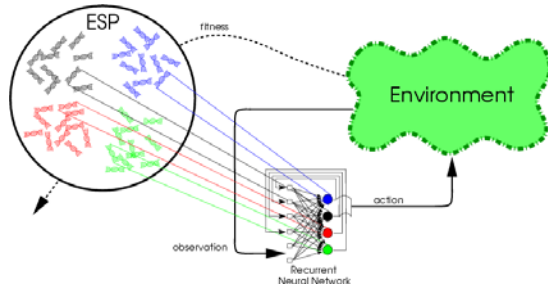
Problems with CNE



- Evolution converges the population (as usual with EAs)
 - Diversity is lost; progress stagnates
- Competing conventions
 - Different, incompatible encodings for the same solution
- Too many parameters to be optimized simultaneously
 - Thousands of weight values at once

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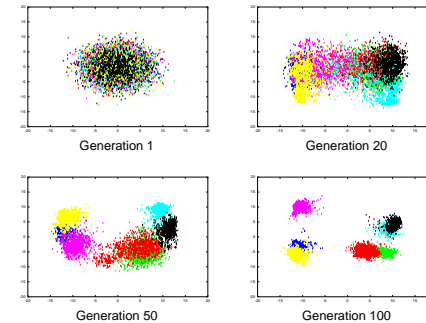
Advanced NE 1: Evolving Neurons



- Evolving individual neurons to cooperate in networks^{1,45,51}
- E.g. Enforced Sub-Populations (ESP²¹)
 - Each (hidden) neuron in a separate subpopulation
 - Fully connected; weights of each neuron evolved
 - Populations learn compatible subtasks
- Can be extended to evolving weights (CoSyNE²⁵)

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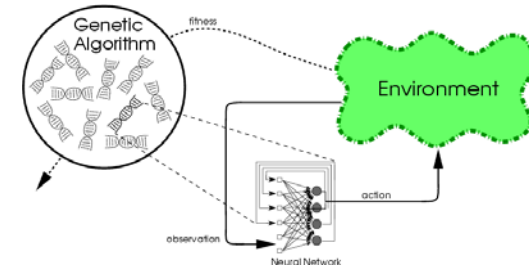
Evolving Neurons with ESP



- Evolution encourages diversity automatically
 - Good networks require different kinds of neurons
- Evolution discourages competing conventions
 - Neurons optimized for compatible roles
- Large search space divided into subtasks
 - Optimize compatible neurons

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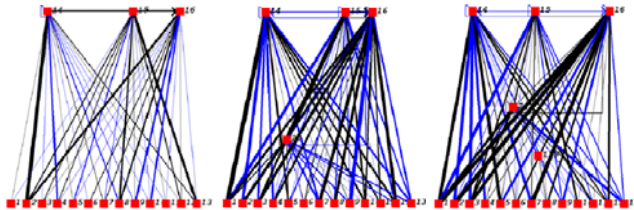
Advanced NE 2: Evolutionary Strategies



- Evolving complete networks with ES (CMA-ES³⁰)
- Small populations, no crossover
- Instead, intelligent mutations
 - Adapt covariance matrix of mutation distribution
 - Take into account correlations between weights
- Smaller space, less convergence, fewer conventions

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Advanced NE 3: Evolving Topologies

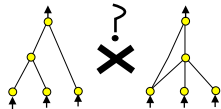


- Optimizing connection weights and network topology^{3,20,83}
- E.g. Neuroevolution of Augmenting Topologies (NEAT^{61,64})
- Based on *Complexification*
- Of networks:
 - Mutations to add nodes and connections
- Of behavior:
 - Elaborates on earlier behaviors

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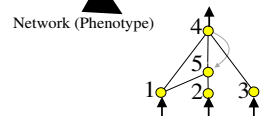
How Can Crossover be Implemented?

- Problem: Structures do not match



- Solution: Utilize historical markings

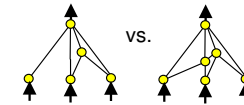
Genome (Genotype)						
Node	Node 1	Node 2	Node 3	Node 4	Node 5	
Genes	Sensor	Sensor	Sensor	Output	Hidden	
Genes	In 1	In 2	In 3	In 2	In 5	In 1
Genes	Out 4	Out 4	Out 4	Out 5	Out 4	Out 5
Genes	Weight 0.7	Weight -0.5	Weight 0.5	Weight 0.2	Weight 0.4	Weight 0.6
Genes	Enabled	DISABLED	Enabled	Enabled	Enabled	Enabled
Genes	Innov 1	Innov 2	Innov 3	Innov 4	Innov 5	Innov 11



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How can Innovation Survive?

- Problem: Innovations have initially low fitness

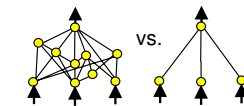


- Solution: Speciate the population
 - Innovations have time to optimize
 - Mitigates competing conventions
 - Promotes diversity

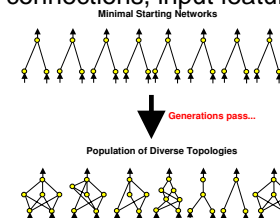
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How Can We Search in Large Spaces?

- Need to optimize not just weights but also topologies

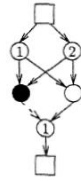
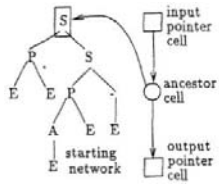


- Solution: Start with minimal structure and complexify
 - Hidden nodes, connections, input features⁷⁹



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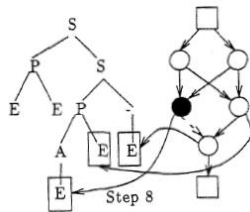
Advanced NE 4: Indirect Encodings



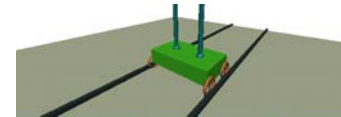
- Instructions for constructing the network evolved
 - Instead of specifying each unit and connection ^{3,39,59,83}
- E.g. Cellular Encoding (CE²⁷)
- Grammar tree describes construction
 - Sequential and parallel cell division
 - Changing thresholds, weights
 - A “developmental” process that results in a network ²¹

Properties of Indirect Encodings

- Smaller search space
- Avoids competing conventions
- Describes classes of networks efficiently
- Modularity, reuse of structures
 - Recurrency symbol in CE: XOR → parity
 - Useful for evolving morphology
- Not all that powerful (yet)
- Promising current work
 - More general L-systems; developmental codings; embryogeny ⁶⁵
 - Spatial coding ¹³ (D'Ambrosio GECCO'07)
 - Genetic Regulatory Networks ⁵² ²² (Reisinger GECCO'07)



How Do the NE Methods Compare?



Poles	Method	Evals
Two-1	CE	(840,000)
	CNE	87,623
	ESP	26,342
	NEAT	24,543
	CoSyNE	3,416
Two-2	CMA-ES	6,061 - 25,254
	ESP	7,374
	NEAT	6,929
	CoSyNE	1,249

Two poles, no velocities, 2 different setups:

- Advanced methods better than CNE
- Advanced methods are still improving
- Indirect encodings future work
- DEMO

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Further NE Techniques

- Incremental evolution ^{23,71,82}
- Utilizing population culture ^{5,37}
- Evolving ensembles of NNs ^{33,50,77}
- Evolving neural modules ⁵³
- Evolving transfer functions and learning rules ^{8,55,68}
- Combining learning and evolution

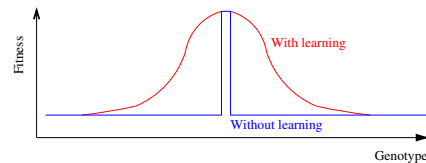
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Combining Learning and Evolution

- Good learning algorithms exist for NN
 - Why not use them as well?
- Evolution provides structure and initial weights
- Fine tune the weights by learning
- Lamarckian evolution is possible
 - Coding weight changes back to chromosome
- Difficult to make it work
 - Diversity reduced; progress stagnates

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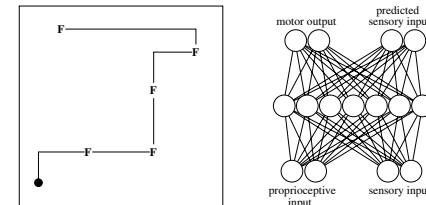
Baldwin Effect



- Learning can guide Darwinian evolution^{4,28}
 - Makes fitness evaluations more accurate
- With learning, more likely to find the optimum if close
- Can select between good and bad individuals better
 - Lamarckian not necessary
- How can we implement it?
 - How to obtain training targets?

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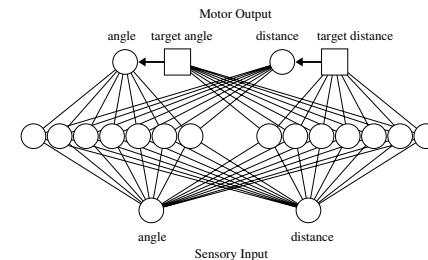
Targets from a Related Task



- Learning in a related task is sufficient
- E.g. foraging for food in a microworld⁴⁷
 - Network sees the state, outputs motor commands
 - Trained with backprop to predict the next input
 - Training emphasizes useful hidden-layer representations
 - Allows more accurate evaluations

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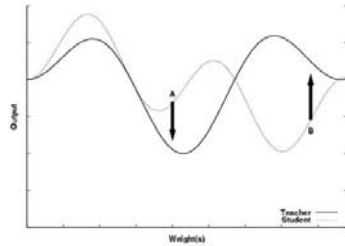
Evolving the Targets



- Evolve extra outputs to provide targets
- E.g. in the foraging task⁴⁹
 - Motor outputs and targets with separate hidden layers
 - Motor weights trained with backprop, targets evolved
 - Targets do not correspond to optimal performance: Direct system towards useful learning experiences

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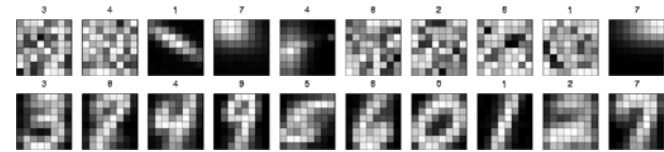
Targets from the Population



- Train new offspring to imitate parents/champion³⁷
 - Trained in population “culture”
- Local search around good individuals
 - Limited training: 8-20 backprop iterations
- Becomes part of the evaluation
 - Individuals evolve to anticipate training
 - Perform poorly at birth, well after training
- Evolution discovers optimal starting points for learning!

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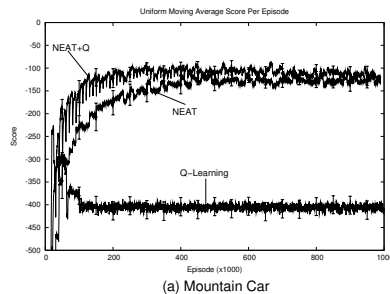
No Targets: Unsupervised Learning



- Hebbian adaptation during performance^{17,62}
- E.g. handwritten character recognition⁷⁴
 - Evolution determines the starting point
 - Competitive learning finishes the design
- Starting points are poor recognizers
 - Only bias learning away from local minima
- Synergetic effect: Evolution utilizes learning
- Future work: Constructing developmental systems

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Targets from Q-Learning



- E.g. NEAT+Q⁷⁸: Evolve network to represent value function
 - Input is the state, outputs are Q-values of actions
- Form targets according to Q-learning equations
 - Compare successive Q-values, use backprop to train
- Improves evolution of a value function
 - Faster than NEAT alone, better than Q-learning
- Utilize both evolution and on-line learning

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Extending NE to Applications

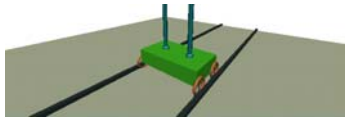
- Control
- Robotics
- Artificial life
- Gaming

Issues:

- Evolving composite decision makers⁷⁷
- Evolving teams of agents^{6,63,84}
- Utilizing coevolution^{54,66}
- Real-time neuroevolution⁶³
- Combining human knowledge with evolution^{7,15,86}

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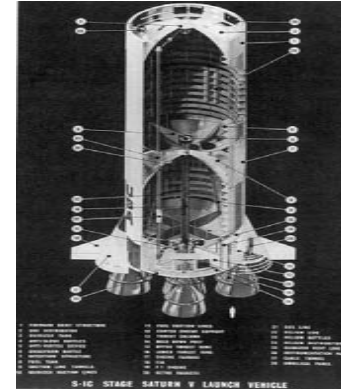
Applications to Control



- Pole-balancing benchmark
 - Originates from the 1960s
 - Original 1-pole version too easy
 - Several extensions: acrobat, jointed, 2-pole, particle chasing⁵⁰
- Good surrogate for other control tasks
 - Vehicles and other physical devices
 - Process control⁷²

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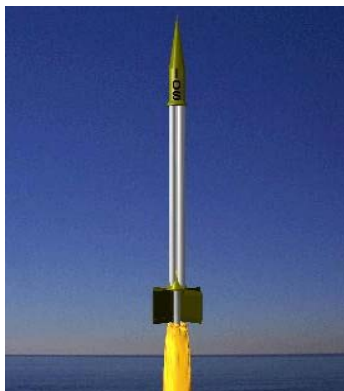
Active Rocket Guidance



- Used on large scale launch vehicles (Saturn, Titan)
- Typically based on classical linear feedback control
- High level of domain knowledge required
- Expensive, heavy

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Controlling a Finless Rocket

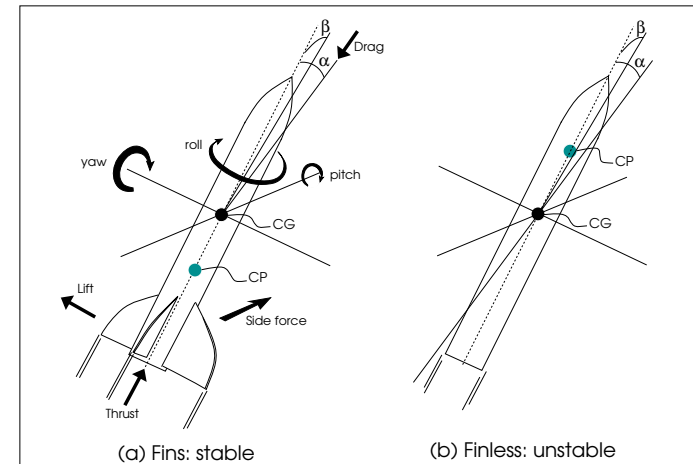


Task: Stabilize a finless version of the Interorbital Systems RSX-2 sounding rocket²⁴

- Scientific measurements in the upper atmosphere
- 4 liquid-fueled engines with variable thrust
- Without fins will fly much higher for same amount of fuel

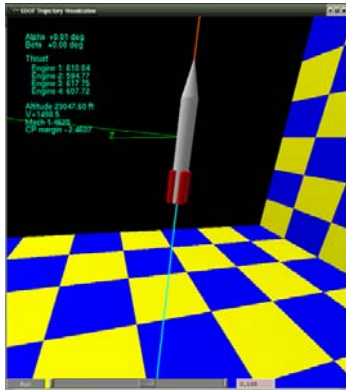
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Rocket Stability



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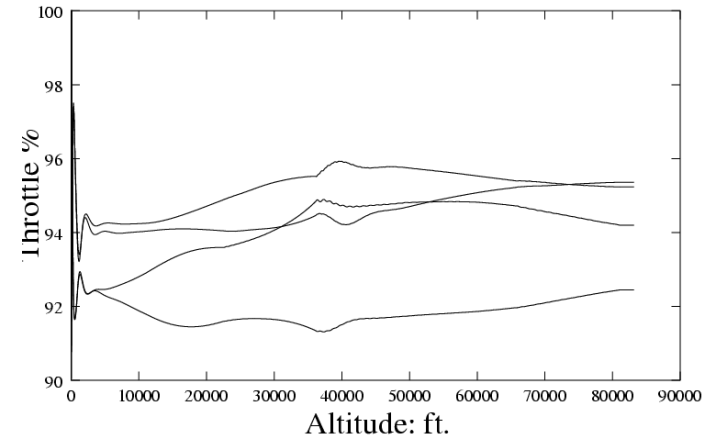
Simulation Environment: JSBSim



- General rocket simulator
- Models complex interaction between air-frame, propulsion, aerodynamics, and atmosphere
- Used by IOS in testing their rocket designs
- Accurate geometric model of the RSX-2

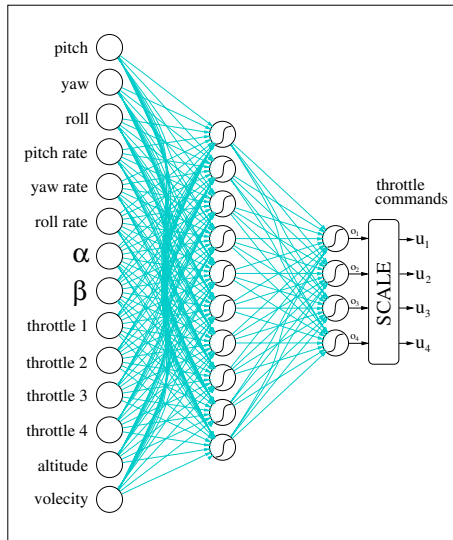
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Results: Control Policy



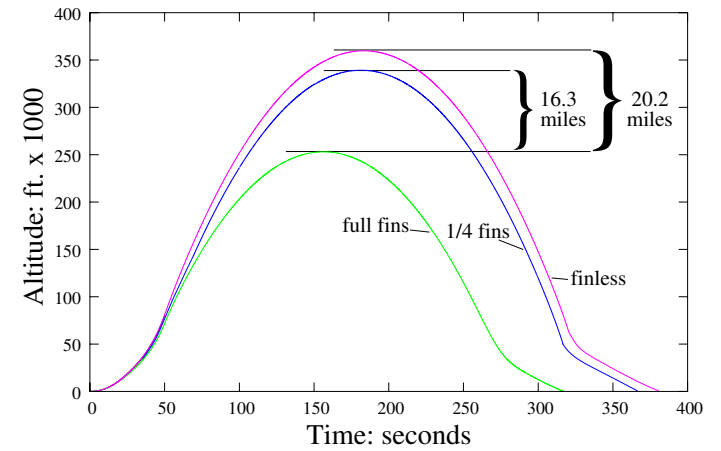
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Rocket Guidance Network



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Results: Apogee



- DEMO

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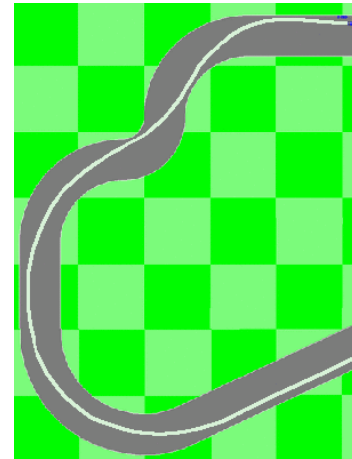
Driving and Collision Warning



- Goal: evolve a collision warning system
 - Looking over the driver's shoulder
 - Adapting to drivers and conditions
 - Collaboration with Toyota³²

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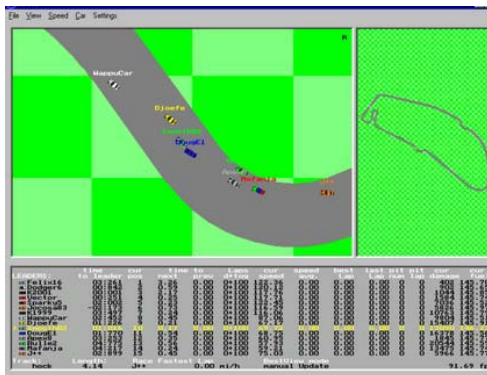
Evolving Good Drivers



- Evolving to drive fast without crashing (off road, obstacles)
- An interesting challenge of its own⁷⁰
- Discovers optimal driving strategies (e.g. how to take curves)
- Works from range-finder & radar inputs
- Works from raw visual inputs
- DEMO

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The RARS Domain



- RARS: Robot Auto Racing Simulator
 - Internet racing community
 - Hand-designed cars and drivers
 - First step towards real traffic

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Evolving Warnings

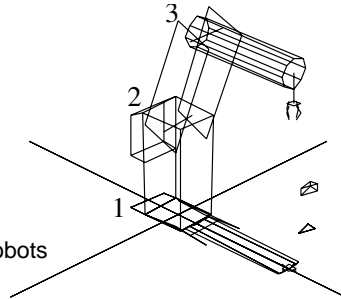


- Evolving to estimate probability of crash
- Predicts based on subtle cues (e.g. skidding off the road)
- Compensates for disabled drivers
- Human drivers learn to drive with it!
- DEMO

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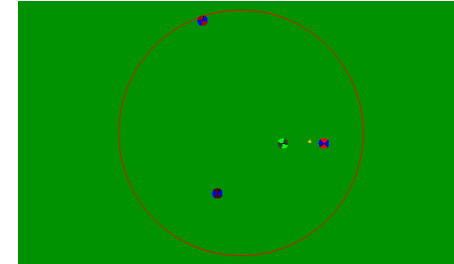
Applications to Robotics

- Controlling a robot arm⁴⁴
 - Compensates for an inop motor
- Robot walking^{29,58}
 - Various physical platforms
- Mobile robots^{11,16,48,60}
 - Transfers from simulation to physical robots
 - Evolution possible on physical robots



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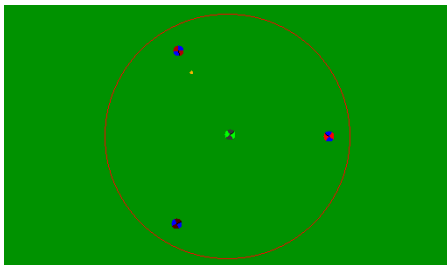
Direct Evolution



- Mapping sensors directly to actions
 - Difficult to separate behaviors
 - Ineffective combinations evolve
- DEMO

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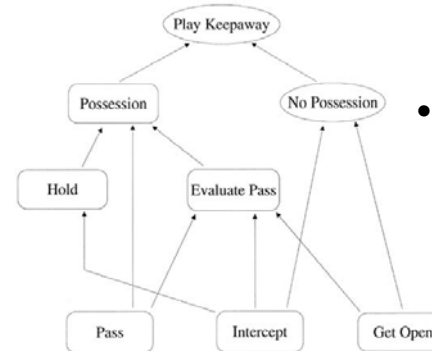
Robotic Soccer



- E.g. robocup soccer “Keepaway” task⁷⁷
- Three keepers, one (algorithmic) taker
- Includes many behaviors:
 - Get-Open, Intercept, Evaluate-Pass, Pass...

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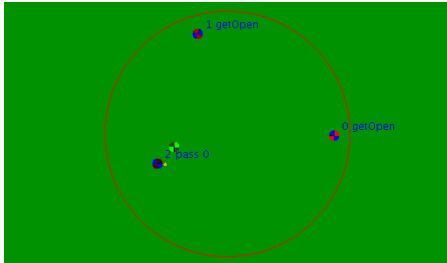
Cooperative Coevolution



- Evolve multiple actions
 - Each one in a separate network
 - Decision tree to decide on actions
 - Or evolve a decision network

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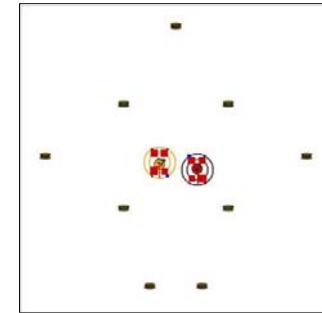
Cooperative Coevolution (2)



- Networks learn individual tasks
- Learn to anticipate other tasks
 - Lining up for a pass
- Cooperative coevolution of composite behavior
- DEMO

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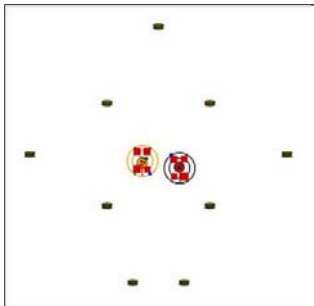
Competitive Coevolution



- Evolution requires an opponent to beat
- Such opponents are not always available
- Co-evolve two populations to outdo each other
- How to maintain an arms race?⁴⁰

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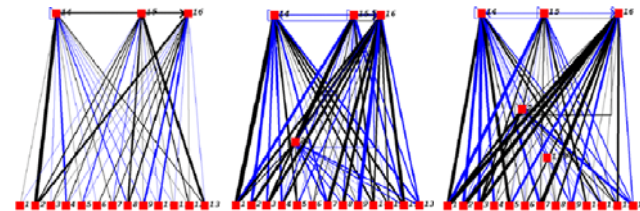
Applications to Artificial Life



- Gaining insight into neural structure
 - E.g. evolving a command neuron^{2,31,56}
- Emergence of behaviors
 - Signaling, herding, hunting...^{75,76,85}
- Future challenges
 - Emergence of language
 - Emergence of community behavior

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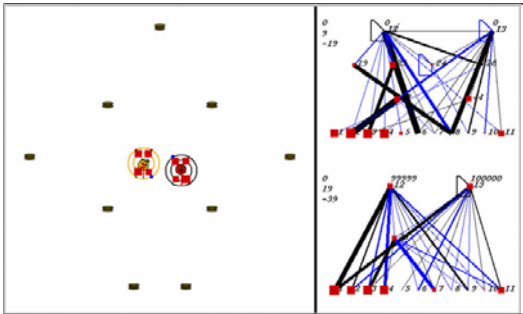
Competitive Coevolution with NEAT



- Complexification elaborates instead of alters
 - Adding more complexity to existing behaviors
- Can establish a coevolutionary arms race
 - Two populations continually outdo each other
 - Absolute progress, not just tricks

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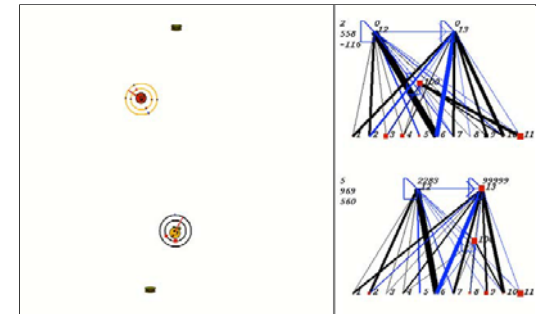
Robot Duel Domain



- Two Khepera-like robots forage, pursue, evade⁶⁶
 - Collect food to gain energy
 - Win by crashing to a weaker robot

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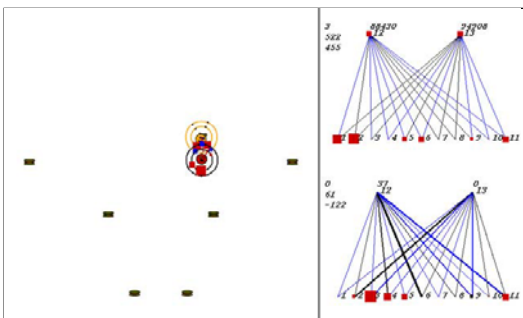
Mature Strategies



- Collect food to gain energy
- Avoid moving to lose energy
- Standoff: Difficult to predict outcome
- DEMO

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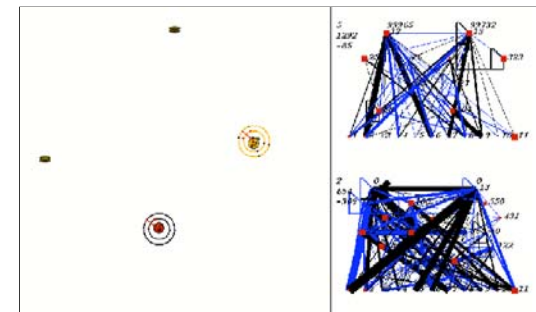
Early Strategies



- Crash when higher energy
- Collect food by accident
- DEMO

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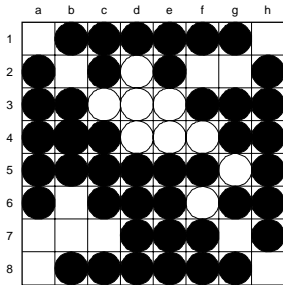
A Sophisticated Strategy



- “Fake” a move up, force away from last piece
- Win by making a dash to last piece
- Complexification → arms race
- DEMO

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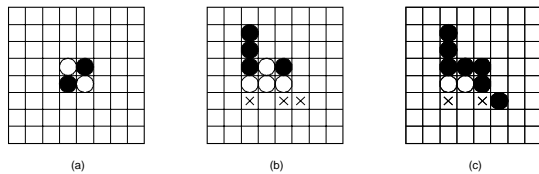
Applications to Games



- Good research platform³⁸
 - Controlled domains, clear performance, safe
 - Economically important; training games possible
- Board games: beyond limits of search
 - Evaluation functions in checkers, chess^{9,18,19}
 - Filtering information in go, othello^{42,67}
 - Opponent modeling in poker³⁴ (Lockett, Chen GECCO'07)

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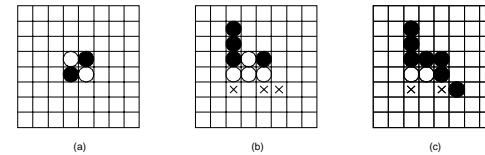
Discovering Novel Strategies in Othello



- Players take turns placing pieces
- Each move must flank opponent's piece
- Surrounded pieces are flipped
- Player with most pieces wins

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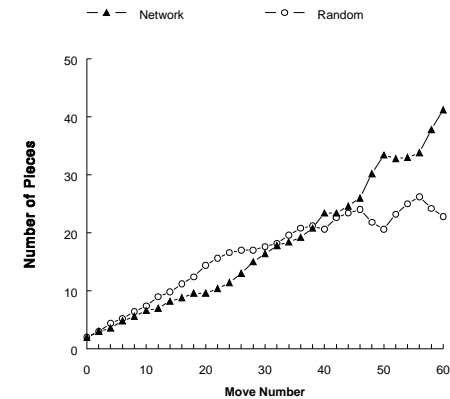
Strategies in Othello



- Positional
 - Number of pieces and their positions
 - Typical novice strategy
- Mobility
 - Number of available moves: force a bad move
 - Much more powerful, but counterintuitive
 - Discovered in 1970's in Japan

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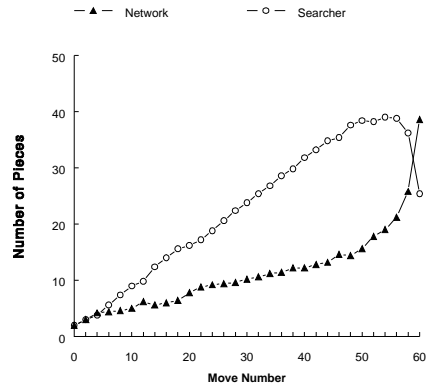
Evolving Against a Random Player



- Network sees the board, suggests moves by ranking⁴³
- Networks maximize piece counts throughout the game
- A positional strategy emerges
- Achieved 97% winning percentage

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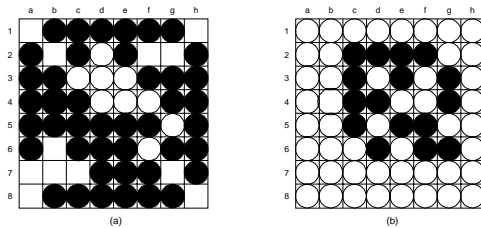
Evolving Against an α - β Program



- Iago's positional strategy destroyed networks at first
- Evolution turned low piece count into an advantage
- Mobility strategy emerged!
- Achieved 70% winning percentage

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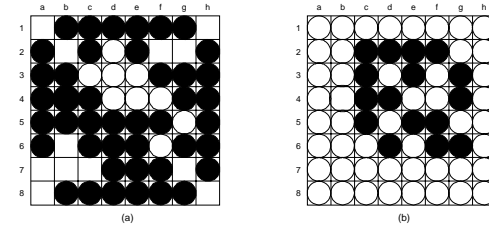
Example game



- Black's positions strong, but mobility weak
- White (the network) moves to f2
- Black's available moves b2, g2, and g7 each will surrender a corner
- The network wins by forcing a bad move

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Discovering Novel Strategies



- Neuroevolution discovered a strategy novel to us
- "Evolution works by tinkering"
 - So does neuroevolution
 - Initial disadvantage turns into novel advantage

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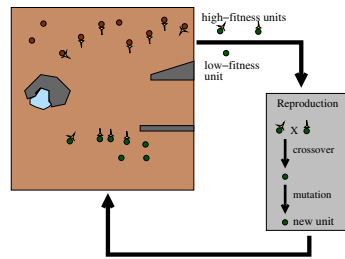
Video Games



- Economically and socially important
- Adaptation an important future goal
 - More challenging, more fun games
 - Possible to use for training people
- How to make evolution run in real time?

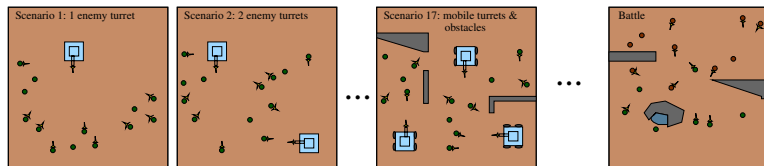
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Real-time NEAT



- A parallel, continuous version of NEAT⁶³
- Individuals created and replaced every n ticks
- Parents selected probabilistically, weighted by fitness
- Long-term evolution equivalent to generational NEAT ⁶⁵

NERO: A Complex Game Platform



- Teams of agents trained to battle each other
 - Player trains agents through exercises
 - Agents evolve in real time
 - Agents and player collaborate in battle
- New genre: Learning *is* the game
- Challenging platform for reinforcement learning
 - Real time, open ended, requires discovery
- DEMO

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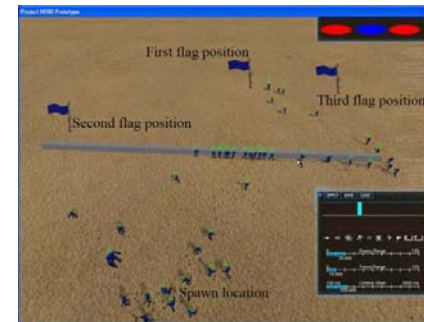
Utilizing Human Knowledge



- Given a problem, NE discovers a solution by exploring
 - Sometimes you already know (roughly) what works
 - Sometimes random initial behavior is not acceptable
- How can domain knowledge be utilized?
 - By incorporating rules^{12,86}
 - By learning from examples⁷

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Incorporating Rules into NE

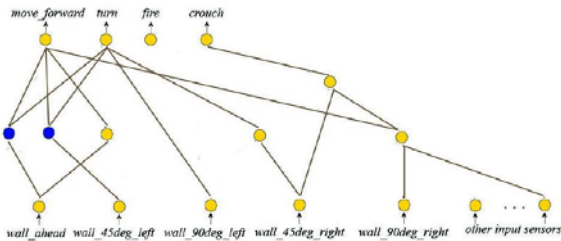


E.g. how to go around a wall in NERO

- Specify as a rule:
 - *wall_ahead: move_forward, turn_right*
 - *wall_45deg_left, move_forward, turn_right_slightly*
- Convert into a network with KBANN³⁵

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Incorporating Rules into NE (2)



- KBANN network added to NEAT networks
 - Treated as complexification
 - Continues to evolve
 - If advice is useful, it stays
- Initial behaviors, on-line advice
- Injecting human knowledge as rules
- DEMO

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Lessons from NERO



- NEAT is a strong method for real-time adaptation
 - Complex team behaviors can be constructed
 - Novel strategies can be discovered
- Problem solving with human guidance
- Coevolutionary arms race
- NE makes a new genre of games possible!

(NERO details, download: <http://nerogame.org>)

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Numerous Other Applications

- Creating art, music¹⁰
- Theorem proving¹⁴
- Time-series prediction³⁶
- Computer system optimization²²
- Manufacturing optimization²⁶
- Process control optimization^{72,73}
- Finding the top quark⁸⁰
- Etc.

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Evaluation of Applications



- Neuroevolution strengths
 - Can work very fast, even in real-time
 - Potential for arms race, discovery
 - Effective in continuous, non-Markov domains
- Requires many evaluations
 - Requires an interactive domain for feedback
 - Best when parallel evaluations possible
 - Works with a simulator & transfer to domain

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Conclusion

- NE is a powerful technology for sequential decision tasks
 - Evolutionary computation and neural nets are a good match
 - Lends itself to many extensions
 - Powerful in applications
- Easy to adapt to applications
 - Control, robotics, optimization
 - Artificial life, biology
 - Gaming: entertainment, training
- Lots of future work opportunities
 - Theory not well developed
 - Indirect encodings
 - Learning and evolution
 - Knowledge and interaction

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