

The gem5 C++ glibc Heap Fitness Landscape

William B. Langdon
Department of Computer Science,
University College London,
Gower Street, London, UK
w.langdon@cs.ucl.ac.uk

Bobby R. Bruce
Department of Computer Science,
University of California, Davis,
Davis, California, USA
bbruce@ucdavis.edu

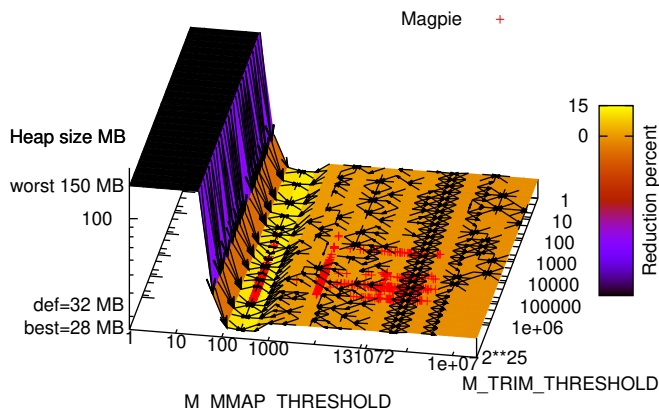


Fig. 1. Search landscape for the size of gem5’s heap. GNU glibc has 7 parameters, two plotted. Setting mmap infeasibly small increases the heap size (red-blue-black), but for gem5 values 256–512 give a reduction $\approx 11\%$ (bright yellow) relative to the default 131072 (orange). Of the 7 dimensions, one (mmap) is dominant and it has a huge sweet spot. Note log scales.

Abstract—Using the language independent genetic improvement tool MAGPIE (Machine Automated General Performance Improvement via Evolution of software) and logarithmic sampling, we measure the parameter fitness landscape when optimising the GNU glibc heap management of a million line C++ application, gem5. The malloc_info landscape is far smoother than is commonly assumed and savings of 11% with no loss of runtime speed are readily obtained by both Magpie and CMA-ES.

Index Terms—SBSE, computer program tuning, parameter search landscape, memory reduction.

I. INTRODUCTION

It is sometimes assumed that the fitness landscapes [1]–[4], [5, Chapter 2] associated with software engineering problems are vast and very difficult. Indeed authors often like to boast that they have solved a particularly horrendous case. Here we take the opposite approach and show this need not be the case and that sometimes the search based approach [6] to a software engineering problem faces a landscape which is smooth, effectively unimodal and contains many acceptable solutions¹ (shown in yellow in Figure 1).

¹In our example of reducing memory usage by at least 10% for a program containing more than a million lines of C++, the fitness landscape contains $\approx 400\,201\,439\,265\,161\,216 \approx 4 \cdot 10^{17}$ solutions, i.e. not a trivial fraction of the whole search space.

The next section gives the background to: recent investigations of fitness landscapes in software engineering particularly for genetic improvement (Section II-A), gem5 (our million+ line C++ example, Section II-B), optimising gem5’s heap memory consumption (Section II-C) and the size of the heap parameter’s search space (Section II-D). The following sections describe reducing gem5’s memory consumption by 11% using Magpie (Section III) and CMA-ES (Section IV), before we conclude that the difficulty of software engineering search spaces may sometimes be overplayed and sometimes they can be easy (Section V).

II. BACKGROUND

Genetic Improvement [7]–[11], has been applied to many aspects of software, including machine code [12]–[15], byte code [16], [17], assembler [18], LLVM intermediate code [19], [20], functional building blocks [21], quantum gates [22], even test suites [23], network protocols [24], story generation [25], dataflow programming languages [26], chemical reaction networks [27], SQL [28], mining specifications from code [29], reducing JavaScript load time [30] and security [31], [32]. It is often used for enhancing non-functional aspects of programs [33] such as reducing energy consumption [34], [35], network bandwidth [36], memory [37], [38] and run time [8]. GI has also been demonstrated with parallel programming [39]–[42] and even transplanting functionality from one program to another [43]–[45]. GI has also been proposed for exploring novel hardware designs before manufacture [46] and search [6] has been suggested to optimise software testing [47]. GI is increasingly being used with advanced AI techniques such as large language models [48], [49]. However GI tools such as GIN [50], [51] and Magpie [52] are usually applied to the human readable program source code itself. Although there have been limited target specific GI approaches which simultaneously optimised parameters and source code [41], [53], it appears that at present Magpie is unique in being the only general purpose GI tool which can tune both parameters and source code, e.g. [54]. Whereas CMA-ES [55] (Section IV) is the state of the art evolutionary algorithm for tuning continuous parameters.

A. Genetic Improvement Fitness Landscapes

As Petke et al. [56] point out (apart from their own work on open source Java programs) there are almost no systematic studies of the search landscape when modifying non-trivial programs. For example, we considered the Triangle Program benchmark [57]–[61], whilst Renzullo et al. investigate neutral networks in the Unix `look` utility [62] and the popular Defects4J automated repair benchmark [63].

Information theoretic approaches [64] have considered data flows within software [65] and concluded, due to entropy loss leading to failed disruption propagation [66], programs are much more robust than is commonly assumed [67]. Entropy loss means disruption of all sorts (e.g. bugs [68], mutations, even cosmic ray induced transients) can fail to reach the program’s outputs and so have no impact, particularly in deeply nested code [69], [70]. Silent errors and mutation which do not change the output, typically do not change fitness. This leads to flat, plateau ridden, software fitness landscapes with many corresponding neutral moves.

As mentioned in the previous section, mostly automatic software improvement has considered the programs themselves, however many aspects of software are configurable and contain parameters which can be tuned [71] or can be deliberately exposed for optimisation [37]. For example, object-orientated code relies on dynamic memory structures under the control of the heap manager. In Section II-C we will describe in the context of our example program, `gem5`, the seven tunable GNU C/C++ `malloc` heap parameters, and how they can be automatically optimised to reduce memory consumption without rewriting source code.

B. `gem5` and prior work on `gem5`

`gem5` [72], [73] is the state of the art discrete time simulation tool for computer chips and hardware. It comprises a total of 1.34 million lines of code (mostly C++)². It supports Intel X86, ARM, RISC V, MIPS, Alpha, Spark and Power instruction sets, as well as various memory chips and cache architectures. It is open source and has been under active community led development and maintenance by a diverse team for more than 13 years. (Umeike et al. [75] and Dakhama et al. [76] previously used `gem5` as a benchmark.) Although it supports the LLVM compiler, `gem5` is mostly used with the GNU `g++` compiler and so uses the GNU C/C++ `glibc` runtime library.

C. `glibc` Heap and `gem5`

To show a software engineering example where the search landscape is much smoother than is commonly assumed (Figure 1) we will show tuning `glibc`’s heap management for `gem5`.

The GNU C and C++ compilers share a common runtime library. In particular the C `malloc` family of dynamic memory management functions are also used by the C++ `new` and `delete` operators. Thus we can use the GNU C `malloc_info` function to report details of `gem5`’s heap, particularly its

size. In common with other general purpose heap managers, `glibc`’s imposes both runtime and memory overheads. (In the context of `gem5`, except for severely mistuned parameters i.e. timeouts, such as those shown in Figure 5, we saw little variation in runtime heap overhead.) Extra memory is used within the heap both for internal pointers to manage the heap and padding both: to control heap fragmentation and to ensure individual memory allocations are suitably aligned for the computer’s hardware (we run `gem5` on Intel’s 64 bit X86 architecture). `malloc_info` and `malloc_usable_size` give details about actual memory on the heap. The memory actually allocated is typically larger than the application asked for (e.g. via `new`). `glibc`’s `malloc` typically allocates small memory requests from its own heap and much larger request by directly asking the operating system for memory via `mmap`. The following sections describe some aspects of Linux’ data memory management and alternative measurement tools.

1) *`gem5` Linux `statm` reports memory 4K pages:* Under the Linux operating system the actual memory given to the program is available in the pseudo file `/proc/self/statm` (see `man proc`). `statm` reports the current number of pages allocated to a Linux process. These include both total virtual pages and number of pages actually in memory. Naturally active memory use depends not only on `gem5` but also upon other processes in the computer and so is noisy. Nevertheless `gem5` gave somewhat consistent results on our networked Centos 7 32GB desktop. Although `statm` gives totals in 7 classes, two are not used and others, particularly the number of data pages and pages occupied by the stack, are “broken” [77, Table 1-3] and difficult to interpret.

2) *Massif high overhead but gives peak heap use in bytes:* Valgrind’s performance tuning tool `massif`, can report both dynamic and peak heap usage with high precision. That is, it reports the actual number of bytes, rather than the number of 4KB pages used. However `massif` imposes, particularly if very accurate results are wanted, a considerable overhead, slowing down `gem5` by about 15 fold.

3) *Google’s `TCmalloc` heap manager:* It is also possible to build `gem5` with Google’s `TCmalloc` heap manager³. However `TCmalloc` is not compatible with `glibc`’s measurement tools and `statm` (see Section II-C1) results are dominated by the increased executable file size so confusing comparisons with `glibc`. Nonetheless `TCmalloc` may be an interesting approach.

4) *`gem5` `malloc_info` used with `Magpie` and `CMA-ES`:* Both `statm` (Section II-C1) and `massif` (Section II-C2) have the advantage of being general and can be applied without code changes whereas we had to modify `gem5` to insert a call to `malloc_info`. We decided to use `malloc_info` as it is accurate to the individual byte level, it has only a little noise, it imposes almost no additional runtime overhead, and it relates directly to the parameters we are tuning.

²We use the release of `gem5` produced by Bobby R. Bruce for the SSBSE 2023 challenge track [74].

³<https://google.github.io/tcmalloc/>

TABLE I
GNU GLIBC 2.17 MALLOC TUNABLE PARAMETERS

name	default	notes
M_MMAP_MAX	65536	Limit on mmap use
M_MMAP_THRESHOLD	131072	When to use mmap
M_TRIM_THRESHOLD	131072	When to return memory to the operating system
M_PERTURB	0	debug aid
M_TOP_PAD	0	Only multi-threaded
M_ARENA_TEST	0	Only multi-threaded
M_ARENA_MAX	0	Only multi-threaded

D. gem5 glibc Parameter Search Space

There are 7 GNU malloc tuning parameters (see Table I). These each take non-negative integer values. Giving a search space of $(2^{31})^7 = 2.1 \cdot 10^{65}$, clearly beyond enumeration. However a quick inspection of the documentation⁴ reveals three parameters only apply to multi-threaded programs, whereas gem5 is single threaded and another is provided as a debug aid. This leaves three parameters, giving a search space of $(2^{31})^3 = 9.9 \cdot 10^{27}$, which is still not enumerable. Although the documentation says the very act of changing one of the 3 remaining parameters, M_MMAP_THRESHOLD, from its default value, causes malloc etc. to change their behaviour, Figure 1 shows the impact of explicitly setting the two most important tunable parameters.

III. MAGPIE

Magpie (Machine Automated General Performance Improvement via Evolution of software) [52] is an open source language independent genetic improvement tool written by Aymeric Blot at UCL. It was first released in 2022⁵. It is often used to improve C and Java source code but also has the ability to tune parameters associated with programs. The Magpie user specifies the parameters to be optimised in a parameter file (see example in Table II). The file specifies with each parameter: its name, type (categorical or continuous) range and associated probability distribution (uniform, exponential, uniform discrete or geometric, but see also Section III-C) and its default value. It is also possible to provide information on legal and illegal combinations of parameters [78].

A. Magpie Parameter Search for gem5's heap

To tune malloc glibc parameters to minimise gem5's total heap, Magpie was run in local search mode (`python3 -m bin.local_search --scenario examples/scenario/mallopt30.txt`) using g++ version 10.2.1 and Python 3.7.3 for 1000 steps using Magpie's defaults. Notice (Table II) the search space has been further reduced (from $(2^{31})^7$ Section II-D) to $(1 + 2^{25})^3 = 33\,554\,433^3 = 37\,778\,935\,240\,656\,982\,900\,736 = 3.78 \cdot 10^{22}$, by restricting the range of values Magpie can explore for all three parameters to zero plus the positive integers up to 2^{25} .

⁴https://www.gnu.org/software/libc/manual/html_node/Malloc-Tunable-Parameters.html

⁵We use Magpie downloaded on 2 October 2023 <https://github.com/blot/magpie>.

TABLE II
MAGPIE PARAMETER FILE MALLOPT30.TXT (TABLE I)

```
TIMING="run"
M_MMAP_MAX_tune      g[0,33554432][65536]
M_TRIM_THRESHOLD_tune g[0,33554432][131072]
M_MMAP_THRESHOLD_tune g[0,33554432][131072]
CLI_PREFIX = ""
CLI_GLUE = ""
```

The value 2^{25} was chosen as being 256 times bigger than the largest default value (column 2 in Table I) and so probably much bigger than needed. In Table II the suffix `_tune` is appended to the glibc parameter names only to avoid any confusion between the mechanism being used to tune glibc and the actual parameter itself. (The data in Figure 1 was obtained by interpolating between 2D grid points with a typical ratio of $\sqrt{2}$ spanning 0 to 33 554 432.)

B. glibc malloc_info Fitness Function

The only change needed to gem5 was to insert a call to gather heap usage statistic by calling `malloc_info` just before gem5 finishes. (For convenience and debugging the code also reports glibc heap parameters, `statm` (Section II-C1) and uses Linux `perf` [79], [80], [70] to gather statistics on run time.) After gem5 finishes an external script extracts the size of the conventional heap and mmap memory from `malloc_info`'s output and adds them to give the actual number of bytes of memory used by gem5's heap (including overheads, Section II-C). This sum becomes the fitness which Magpie and CMA-ES try to minimise.

Notice we are only calling `malloc_info` at the end of the gem5 run. This works well with gem5 but may be less helpful with other programs. Valgrind's `massif` (Section II-C2) confirms this gives peak heap use for gem5.

Genetic improvement is often used to speed up software, when it is critical to measure how fast each mutant is compared to the original code. However measuring run time is notoriously noisy and typically multiple measurements are used and an average taken. This is what we do here, even though `malloc_info` is typically more consistent than run time (`malloc_info` noise $< 0.05\%$).

gem5 is first run without changing any of the glibc malloc parameters (Table I). All its outputs are saved and the size of its heap is recorded. Then gem5 is run again with the mutated values of M_MMAP_MAX, M_MMAP_THRESHOLD and M_TRIM_THRESHOLD. Again its outputs and heap size are recorded.

Both gem5 runs have a timeout of 15 seconds and identical command lines (`gem5 $script --isa X86 --binary $binary`). Where `$script` is a Python script used to control the gem5 run, e.g. the type of CPU to simulate, the type and size of the memory, characteristics of the link between the two, etc. Like [81], we use `hello-custom-binary.py` which was supplied with the SSBSE 2023 challenge track [74].

\$binary is a file containing the machine code whose execution gem5 is to simulate. For example, it might be a performance critical code segment which a gem5 user wishes to simulate in order to tune hardware before manufacture or to diagnose performance issues in existing designs. We use a self contained compiled C fragment taken from the performance critical loop in RNAfold [82]–[84]⁶. Our binary is set up to execute exactly, including the actual RNA data values, 209 times the innermost actions of RNAfold folding an RNA molecule composed of twenty bases ($209 = \frac{1}{2}(20 + 1)20 - 1$). (After Magpie had been run, its output was verified with gem5 simulating a verity of binaries created by compiling different C programs.)

Each output from the two gem5 runs is compared. If any output were to be different the whole fitness evaluation would be aborted. This did not happen in any production run. Even though there is little noise, for convenience, we follow recent practise [54] and repeat this eleven times for pairs of gem5 runs. If all 22 gem5 runs are successful, the fitness of the mutant returned to Magpie (or CMA-ES) is the median of the 11 pairs of runs of the ratio of the heap size with the mutated parameters and without. If the parameters cause gem5 to timeout a very poor fitness value ($100\times$ the default) is returned. Other errors are fatal, and after debugging did not occur. I.e., all gem5 runs gave the right answers, except when badly mistuned malloc parameters cause it to slowed down so much that it was timed out.

C. Magpie’s Exploration of the Search Space

Figure 2 shows the progress of ten independent Magpie runs. All the Magpie runs made some progress (orange dots), but one run (run 0 +) succeeded in reducing `M_MMAP_THRESHOLD` from its default value 131072 to 268 in fitness evaluation 388, which lies comfortably in the high fitness yellow valley of Figure 1 (fitness 11.1%). The best fitness in Magpie run 0 was found in fitness evaluation 416 (also `M_MMAP_THRESHOLD` = 268) and gives a reduction in gem5’s heap of 11.5% (The best value found by our grid Figure 1 occurs at $2^{8.0} = 256$ and is 11.9%. Earlier, ad hoc Magpie runs, found savings of up to 12.5%.)

Figure 3 concentrates upon another Magpie run (run 1) and includes all three malloc parameters. Figure 3 highlights the way Magpie searches parallel to each of the three axis. That is, as Magpie finds new preferred settings it randomly changes each of the three parameters one at a time leading to lines of sample points (blue dots in Figure 3). E.g., notice the vertical lines of blue dots due to sampling with different values of `M_MMAP_MAX` but leaving the other parameters unchanged. Similarly mutations to `M_TRIM_THRESHOLD` give lines of blue dots parallel to the y-axis. The black arrows in Figure 3 highlight each new best fitness so far. The arrows show that often Magpie makes progress by changing one parameter at a time but multiple changes are also used.

⁶RNAfold is the state of the art bioinformatics tool for minimising free energy in RNA molecules. It is a large open source project written in C <https://www.tbi.univie.ac.at/RNA/RNAfold.1.html>.

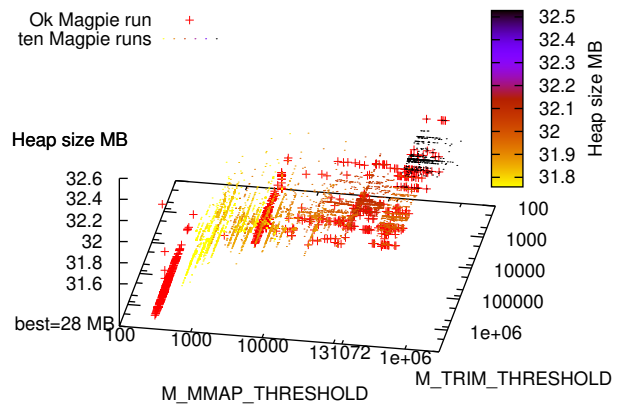


Fig. 2. Ten Magpie runs. Successful run plotted with +, with 9 runs making little progress (orange \approx 1% better). To avoid compressing vertical axis, “best” values are not to scale and timeouts are not plotted. (Runs 3 and 6 each had one sample which drastically slowed gem5, causing Magpie to time it out. The values were `M_MMAP_THRESHOLD`=25 and =30.) Non-linear scales.

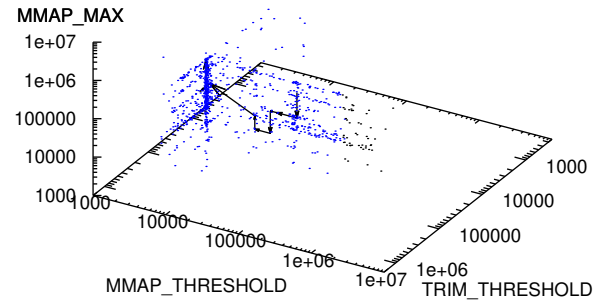


Fig. 3. Typical Magpie run. Arrows highlight best point found so far. (All runs start at default 128K,128K,65336.) This run reduces mmap but gets stuck near `mmap`=8356 and so improves by only 1%. Noise added to spread data. Note log scales.

Figure 4 shows the distribution of `M_MMAP_THRESHOLD` values sampled in ten Magpie runs (note log scale). On average, the 268 *new* values sampled are exponentially distributed with a mean of 340 000 (as expected this is 1% of the range of `M_MMAP_THRESHOLD` values, see Table II). However where Magpie found parameter settings which reduce gem5’s heap, there are appreciable peaks due to `M_MMAP_THRESHOLD` values being reused. These are specific to each run. Notice despite finding lower better values, due to the large mean, Magpie continues to preferentially sample large values. Crucially only Magpie run 0 samples values of `M_MMAP_THRESHOLD` from the 255–511 bin, corresponding roughly to the region giving heap saving of more than 10% shown as the yellow valley in Figure 1.

IV. CMA-ES

We also tried Hansen’s Covariance Matrix Adaptation Evolution Strategy (CMA-ES)⁷ algorithm [55]. We used the C version of CMA-ES from Krauss’ [85]–[89] replication package [90] compiled with GCC version 7.3.1. Although

⁷ <https://cma-es.github.io/>

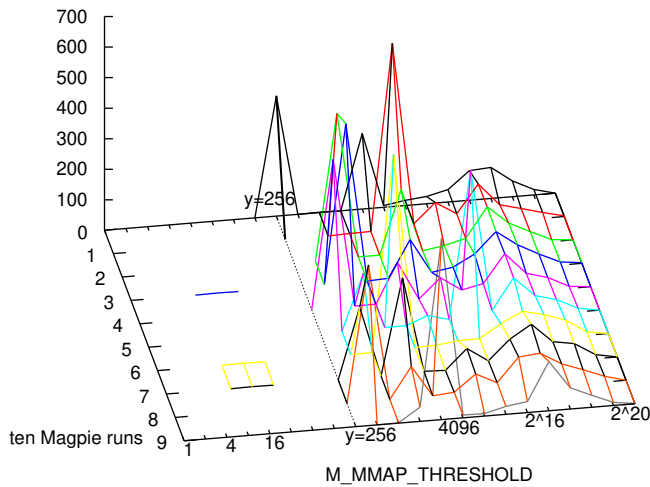


Fig. 4. Distribution of `M_MMAP_THRESHOLD` values in ten Magpie runs. Bins cover factor of 2.0. Dotted line at $y=256$ highlights only run 0 sampled `M_MMAP_THRESHOLD` in the range 256–511. Note log scale.

Hansen [91] recommends preconditioning, the data did not need careful preparation and we simply re-used the Magpie fitness function. That is, we used the natural data ranges for all three parameters. However we did round the `double` values in the CMA-ES population to integers in the same range as Magpie (i.e. $0 \dots 2^{25}$). Similarly CMA-ES was initialised with the `glibc` default values and its initial standard deviations were set to 25% of these start values [91]. Otherwise CMA-ES’s defaults were used and like Magpie it was run for 1000 fitness evaluations. (Note although CMA-ES uses a 3×64 bit double precision representation, by rounding to the same integer ranges as we used with Magpie, the CMA-ES search space is the same as the Magpie one, i.e. $3.78 \cdot 10^{22}$.) Since they use the same fitness function and number of evaluations, CMA-ES and Magpie runtimes are similar. (Magpie is about 10% faster because where it resamples a point in the search space, it does not re-evaluate it but instead uses its cache of previously measured fitness values.)

Of ten independent CMA-ES runs (see Figure 5), two runs find improvements of only 0.5% (+ and × in Figure 5). For example, early in run 2, CMA-ES overshoots the sweet spot and tests negative parameter settings which sometimes cause `gem5` to be timed out (vertical values > 400 plotted with + at left edge in Figure 5). Thus in run 2 CMA-ES learns to avoid small values and in the last 80% of run 2 CMA-ES almost never samples `M_MMAP_THRESHOLD` below 10000. Similarly, although CMA-ES run 3 (× in Figure 5) does not get as many timeouts, early in the run it also repeatedly samples negative values (which are mapped to `M_MMAP_THRESHOLD` = 0) which have poor fitness (black region in Figure 1), and again in run 3 CMA-ES learns to avoid small values and so in the last 60% of run 3 CMA-ES almost never samples `M_MMAP_THRESHOLD` below 10000. Note the similarity between Figure 1 and Langdon and Poli’s synthetic benchmark [92, Fig. 23], which was deliberately evolved to be difficult for CMA-ES. However the `glibc` sweet spot is large enough that,

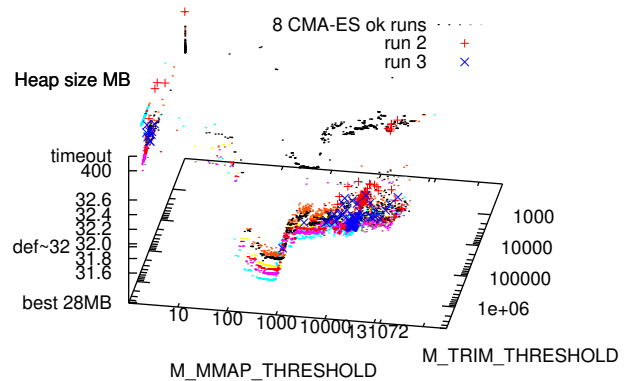


Fig. 5. Ten CMA-ES runs. Eight successful runs shown with coloured dots. Two runs which found only 0.5% improvement plotted with + and ×. To avoid compressing vertical axis, small values and values 32.6–400 megabytes plotted at reduced scales. For log scales, non-positive values plotted at edges. Timeouts plotted above all legal heap values.

although in the other eight runs CMA-ES also overshoots and also occasionally causes `gem5` to timeout, it does not shun small values (dots in Figure 5) and later in these runs it does home in on the sweet spot. Therefore CMA-ES is able to find `glibc` parameter settings which reduced `gem5`’s heap by on average 11.6% (best 11.7%), essentially by setting `M_MMAP_THRESHOLD` to a value between 338 and 520 (best 430).

V. CONCLUSIONS

We have shown that both Magpie and CMA-ES can be applied out of the box to a non-trivial software engineering problem (reducing by at least 11% the heap of a program composed of more than a million lines of C++ without changing its code or slowing it down) in less than 14 hours (total 10×1000 fitness evaluations on an 8 core 3.6 GHz desktop) despite the fact that the search space is $37\,778\,935\,240\,656\,982\,900\,736$ ($3.78 \cdot 10^{22}$).

We conclude, in software engineering, size is not necessarily a guide to problem difficulty and sometimes SE problems are easier than they might appear.

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